

# Overview

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- Introduction (Fox-Ch1)
  - Response function
  - Optical processes
  - Optical constants
- Waves in solids (Fox-Appendix A)
  - Maxwell equations and wave equation
- Models (Fox-Ch2,3,7)
  - Lorentz model
  - Drude-Lorentz model
  - Transition rates, QM treatment
- Magneto-optical effects, XMCD
- Inelastic light scattering
- Non-linear optics
- Time resolved optics
- Optical modification of matter

# *Metals*

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Lorentz model: Polarization due to bound charges

$$\frac{d^2x}{dt^2} + \gamma \frac{dx}{dt} + \omega_0^2 x = -\frac{e}{m} E(t)$$

Restoring force  $\omega_0 = \sqrt{\frac{K}{m}}$

→ Leads to single absorption line at the resonance frequency

response function for N identical oscillators:

$$\chi(\omega) = \frac{Ne^2}{\epsilon_0 m} \frac{1}{\omega_0^2 - \omega^2 - i\gamma\omega} = \frac{\omega_p^2}{\omega_0^2 - \omega^2 - i\gamma\omega}$$

Metals have 'free' electrons: like having no restoring force

# Metals – Drude model

Lorentz model without restoring force: Polarization due to free charges

$$\frac{d^2 x}{dt^2} + \gamma \frac{dx}{dt} = -\frac{e}{m} E(t)$$

Same calculation as before: Calc. position, multiply by charge for P, compare to  $P = \epsilon_0 \chi E$

$$x(t) = \frac{e}{m} \frac{E(t)}{\omega^2 + i\gamma\omega} \quad \chi = \frac{NP}{\epsilon_0 E} = -\frac{Nex}{\epsilon_0 E} = -\frac{Ne^2}{\epsilon_0 m} \frac{1}{\omega^2 + i\gamma\omega} = -\frac{\omega_p^2}{\omega^2 + i\gamma\omega}$$

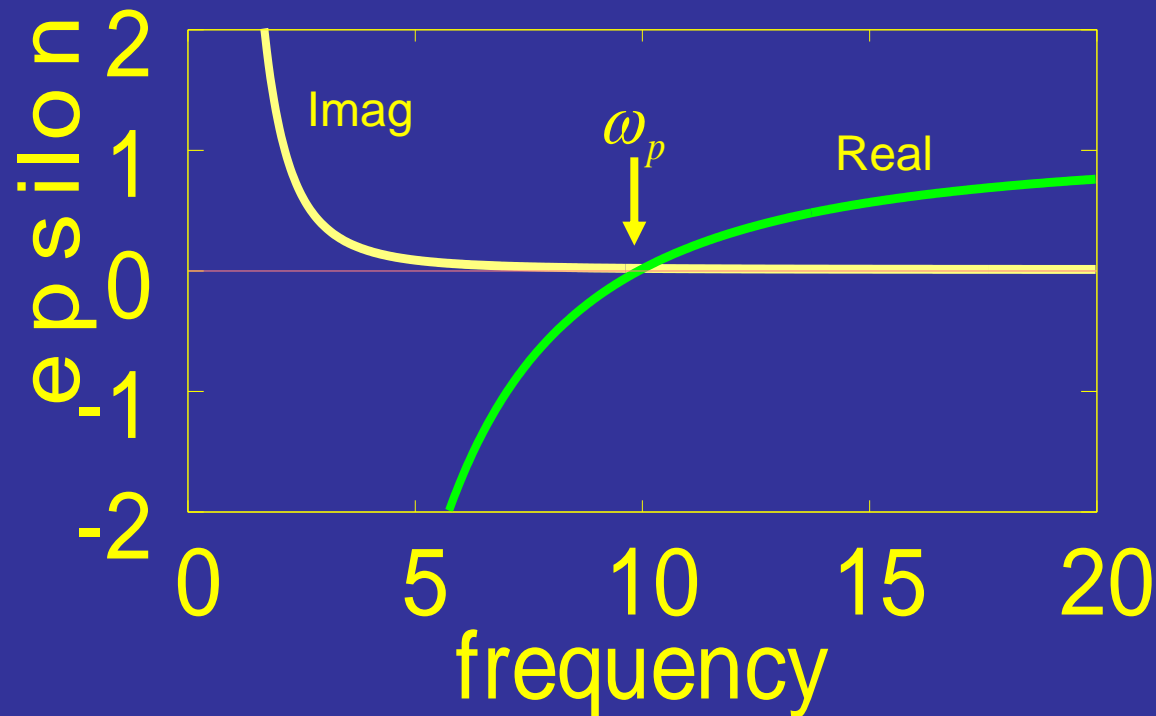
Real and imaginary parts:

Susceptibility  $\chi' = -\frac{\omega_p^2}{\omega^2 + \gamma^2}$   $\chi'' = \frac{\omega_p^2 \cdot \gamma / \omega}{\omega^2 + \gamma^2}$

$$\omega_p^2 = \frac{Ne^2}{\epsilon_0 m}$$

Dielectric function  $\epsilon'(\omega) = 1 - \frac{\omega_p^2}{\omega^2 + \gamma^2}$   $\epsilon''(\omega) = \omega_p^2 \frac{\gamma / \omega}{\omega^2 + \gamma^2}$

# Dielectric Function Metals

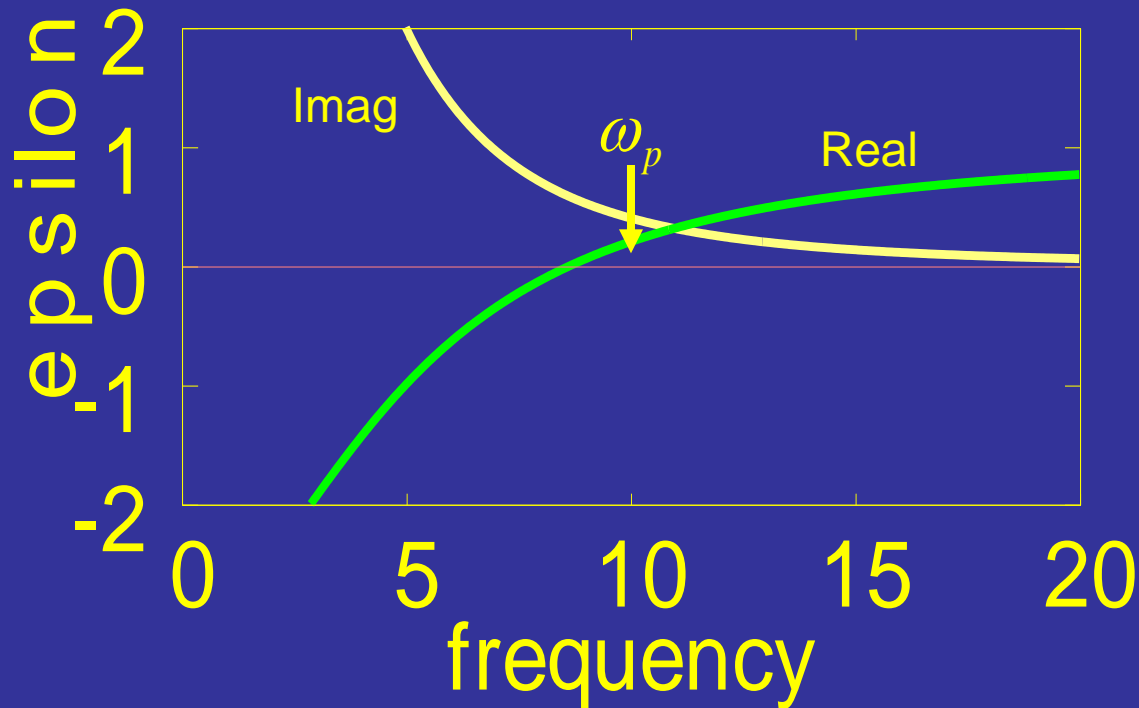


$$\omega_p = 10$$

$$\gamma = 0.1$$

$$\varepsilon'(\omega) = 1 - \frac{\omega_p^2}{\omega^2 + \gamma^2} \quad \varepsilon''(\omega) = \omega_p^2 \frac{\gamma / \omega}{\omega^2 + \gamma^2}$$

# Dielectric Function Metals



$$\omega_p = 10$$

$$\gamma = 5$$

$$\epsilon'(\omega) = 1 - \frac{\omega_p^2}{\omega^2 + \gamma^2} \quad \epsilon''(\omega) = \omega_p^2 \frac{\gamma / \omega}{\omega^2 + \gamma^2}$$

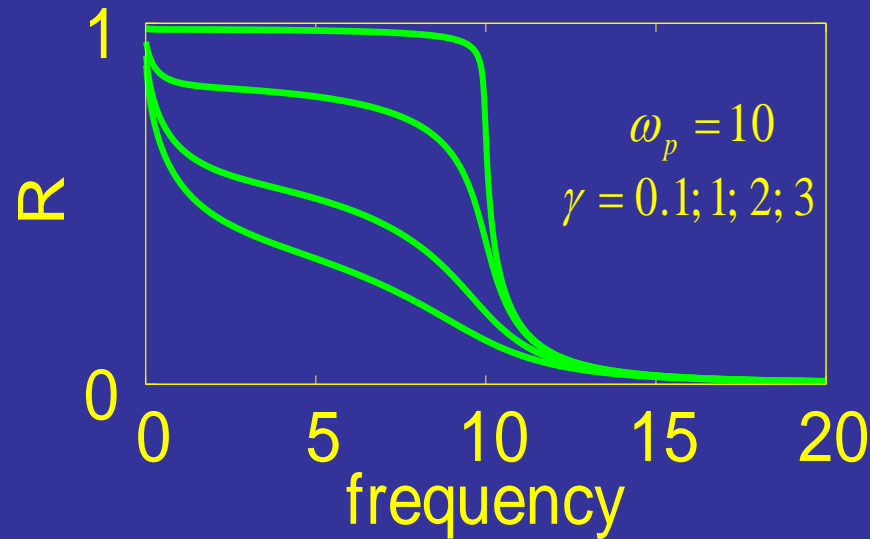
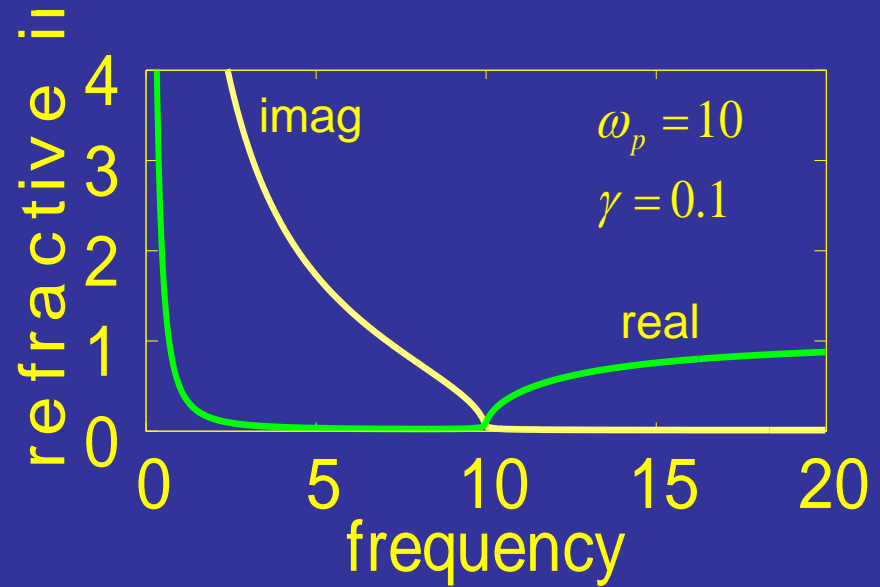
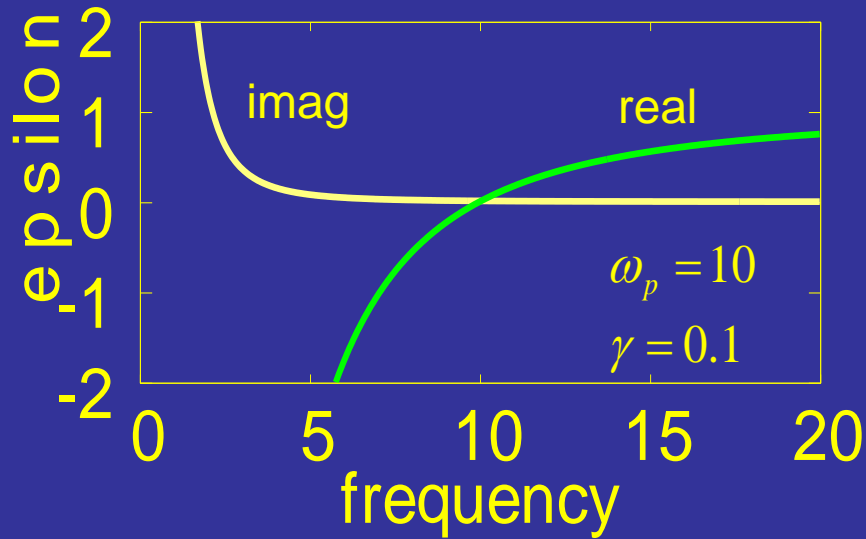
- Damping term due to collisions  $\gamma = \frac{1}{\tau}$

$$\varepsilon'(\omega) = 1 - \frac{\omega_p^2 \tau^2}{\omega^2 \tau^2 + 1} \quad \varepsilon''(\omega) = \omega_p^2 \frac{\tau / \omega}{\omega^2 \tau^2 + 1}$$

- Scattering rate in metals  $\tau \sim 10^{14} \text{ s}^{-1}$
- At optical frequencies  $\omega^2 \tau^2 \gg 1$
- High frequency limit:

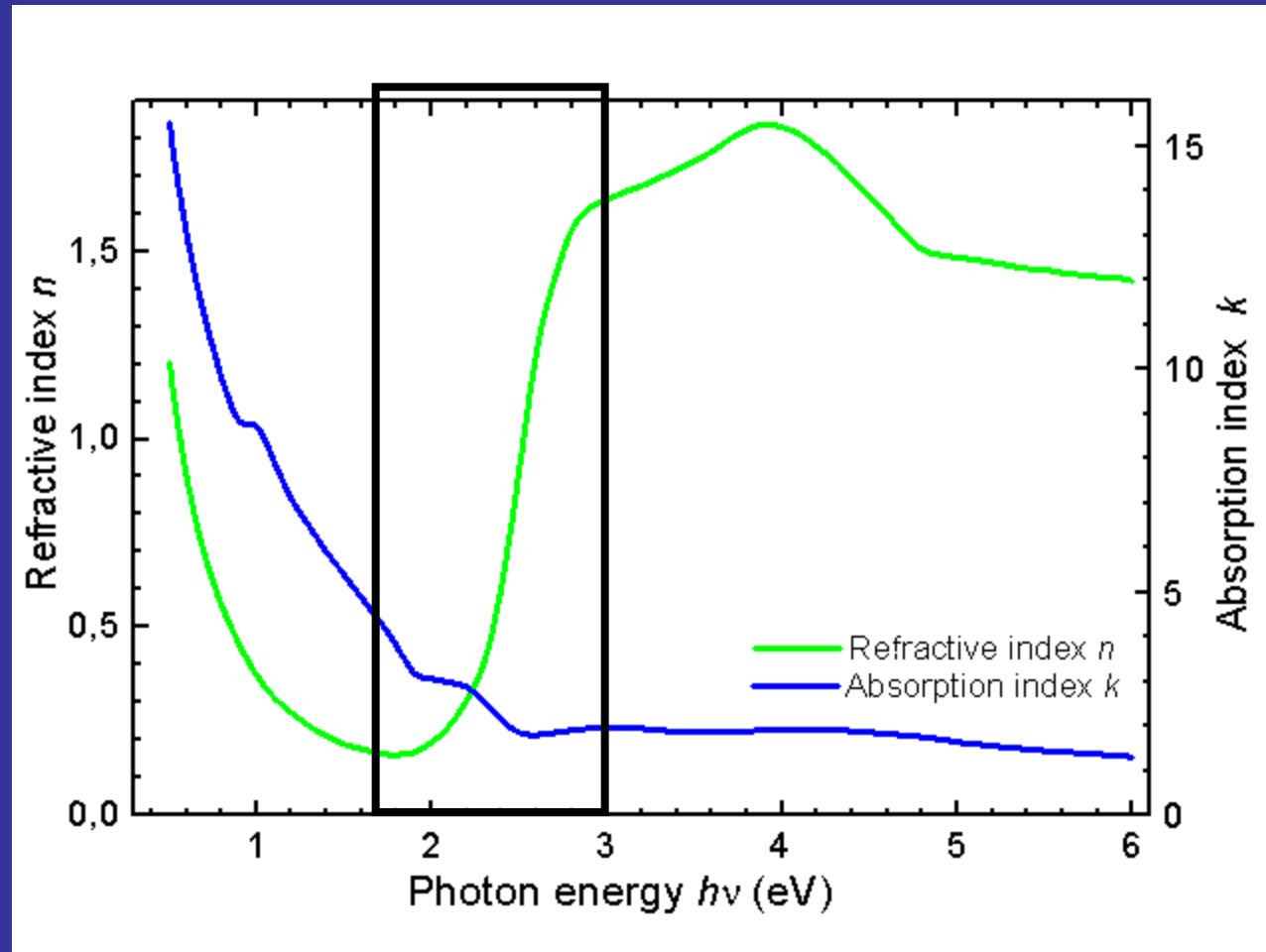
$$\varepsilon'(\omega) = 1 - \frac{\omega_p^2}{\omega^2} \quad \varepsilon''(\omega) = \frac{\omega_p^2}{\omega^3 \tau}$$

# $\epsilon, n, R$ for a metal





# Gold

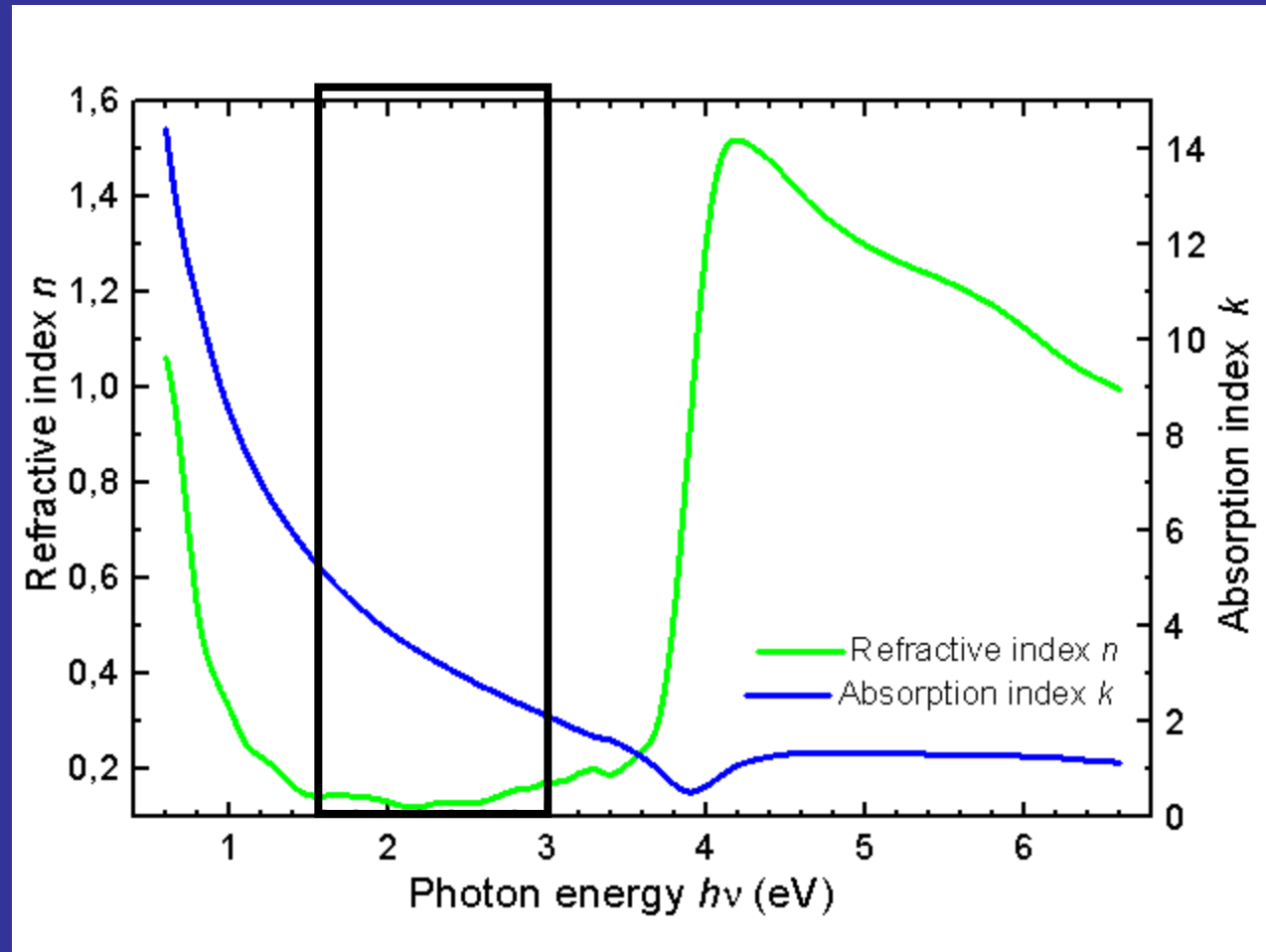


$$\omega_p \approx 9 \text{ eV}$$



<http://www.ioffe.rssi.ru/SVA/NSM>

# Silver

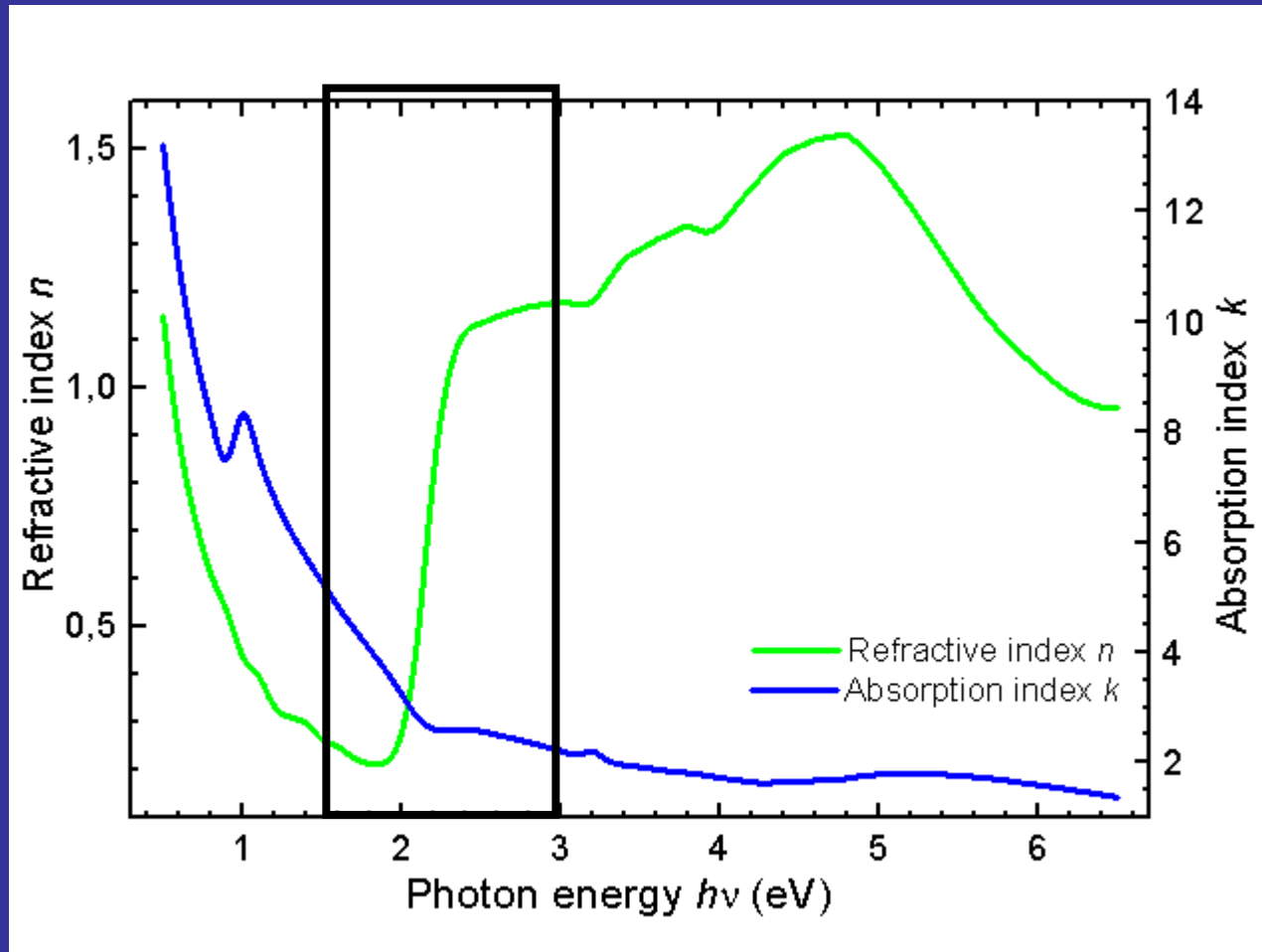


$$\omega_p \approx 9 \text{ eV}$$



<http://www.ioffe.rssi.ru/SVA/NSM>

# Copper

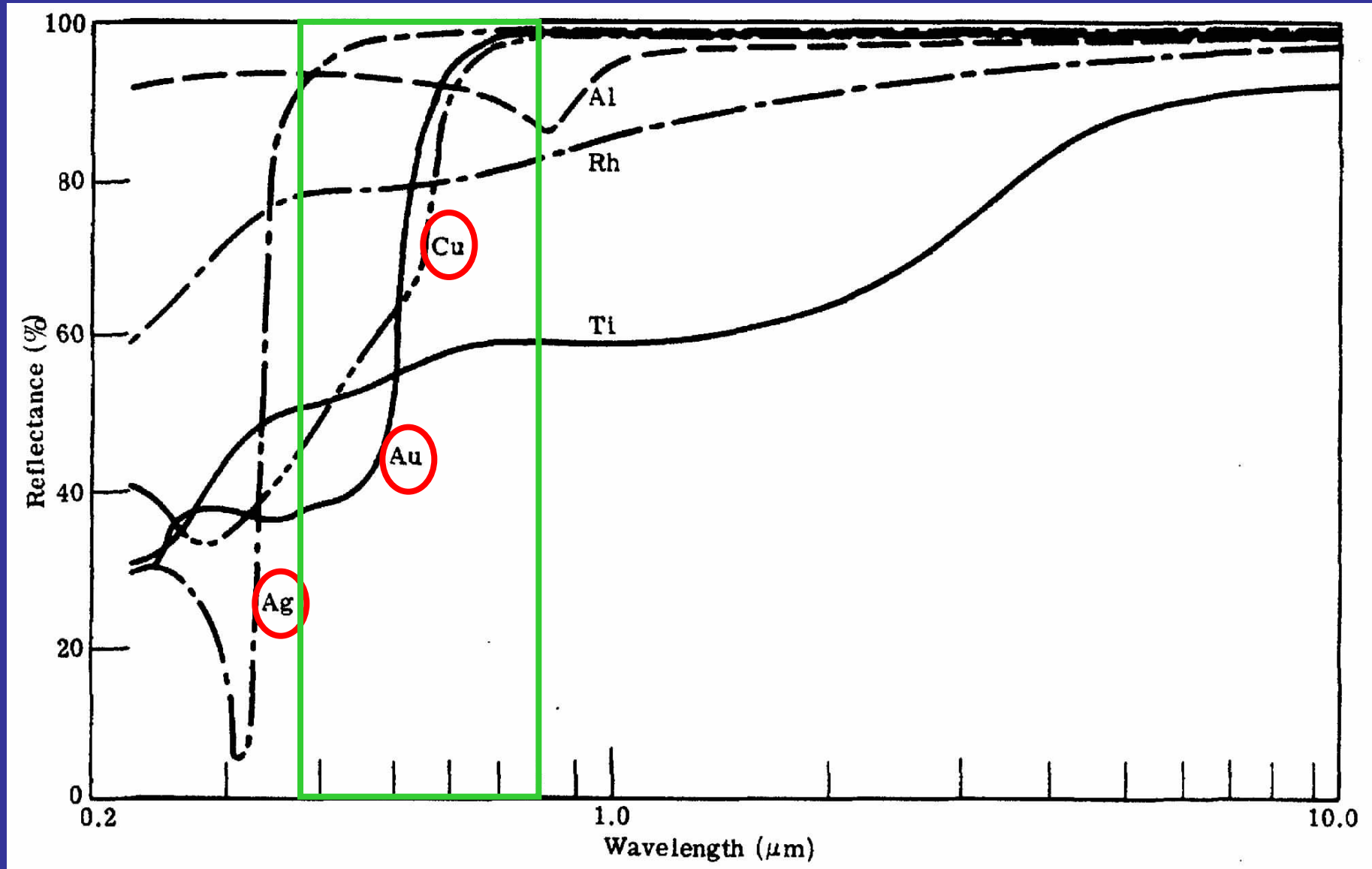


$$\omega_p \approx 11\text{eV}$$



<http://www.ioffe.rssi.ru/SVA/NSM>

# Reflectance



# Typical values

- Metals:  
 $N \sim 10^{21} \text{ cm}^{-3} \rightarrow \omega_p/2\pi \sim 10^{15} \text{ Hz} \rightarrow \lambda = 300 \text{ nm}$  (in the UV)
- Doped semiconductors:  
 $N \sim 10^{17} \text{ cm}^{-3} \rightarrow \omega_p/2\pi \sim 10^{13} \text{ Hz} \rightarrow \lambda = 30 \text{ }\mu\text{m}$  (in the FIR)

absorption coefficient

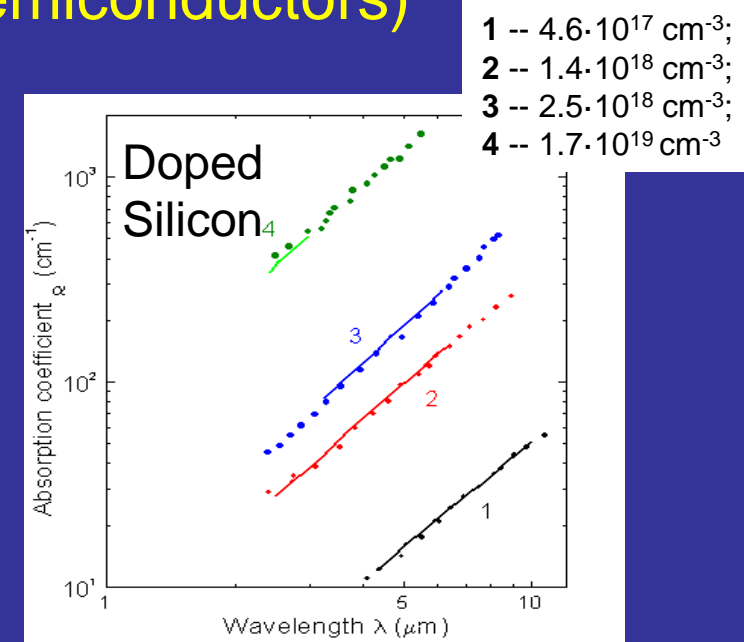
High frequencies (infrared for doped semiconductors)

$$\varepsilon'(\omega) = 1 - \frac{\omega_p^2}{\omega^2} \approx 1 \quad \varepsilon''(\omega) = \frac{\omega_p^2}{\omega^3 \tau}$$

$$n''(\omega) \approx \sqrt{\frac{1}{2} \left( \sqrt{1 + \varepsilon_r''^2} - 1 \right)} \approx \frac{1}{2} \varepsilon_r''$$

$$\alpha(\omega) \approx \frac{1}{2} \frac{\omega_p^2}{\omega^2 \tau} \sim \lambda^2$$

$$n'(\omega) = \sqrt{\frac{1}{2} \left( \sqrt{\varepsilon_r'^2 + \varepsilon_r''^2} + \varepsilon_r' \right)} \quad n''(\omega) = \sqrt{\frac{1}{2} \left( \sqrt{\varepsilon_r'^2 + \varepsilon_r''^2} - \varepsilon_r' \right)}$$



# Drude conductivity

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Previously  $\frac{d^2x}{dt^2} + \gamma \frac{dx}{dt} = -\frac{e}{m} E$

Expressed in velocity  $\frac{dv}{dt} + \gamma v = -\frac{e}{m} E$

velocity  $v = -\frac{e\tau}{m} \frac{1}{1-i\omega\tau} E$

Current  $J = -Nev = \frac{Ne^2\tau}{m} \frac{1}{1-i\omega\tau} E \equiv \sigma E$

Conductivity  $\sigma(\omega) = \frac{Ne^2\tau}{m} \frac{1}{1-i\omega\tau} = \frac{\sigma_0}{1-i\omega\tau} = -i\varepsilon_0\omega(\varepsilon_r(\omega)-1)$

DC Conductivity  $\sigma(0) = \sigma_0 = \frac{Ne^2\tau}{m}$

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- Derive the wave equation
  - 1.8; 1.12; 1.19
  
  - Derive the response function of a Lorentz oscillator
  - 2.3; 2.6;
  
  - 7.1, 7.6, 7.7;