

# Condensed Matter Physics I

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# Lectures and Working Class

Lectures & problem session on

Tuesday 10:00 : 11:30

Thursday 12:00 : 13:30

Problem sessions on Thursday alternating with lectures

Problem session teacher:

Dr. Matteo Montagnese, II P.I. room 318

[m.montagnese@ph2.uni-koeln.de](mailto:m.montagnese@ph2.uni-koeln.de)

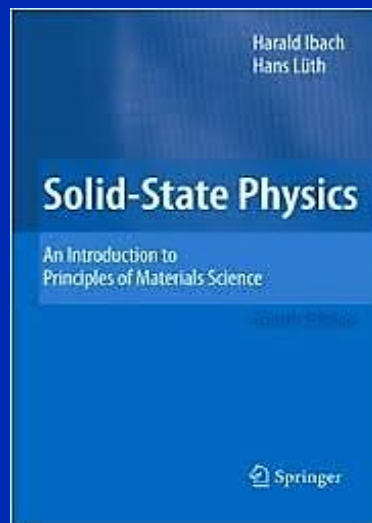
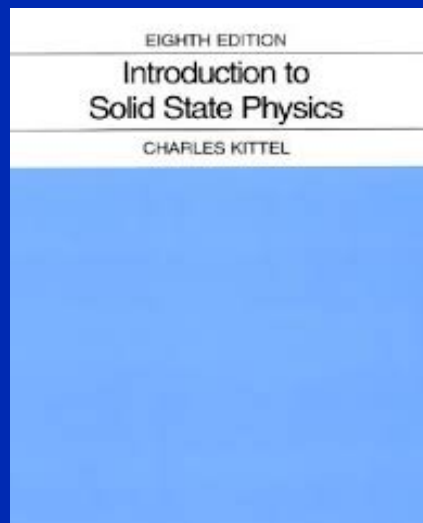
Hand in problem sets on Tuesday's (room 318).

# Literature

Introduction to Solid State Physics, Charles Kittel

Solid State Physics, Ibach & Lüth

Solid State Physics, Ashcroft & Mermin



Quantum theory of solids, Kittel

Principles of condensed matter physics, Chaikin & Lubensky

Many, many more

# States of matter

Plasma

Gas

Liquid

Liquid crystals

glasses

Amorphous solids

quasi crystals

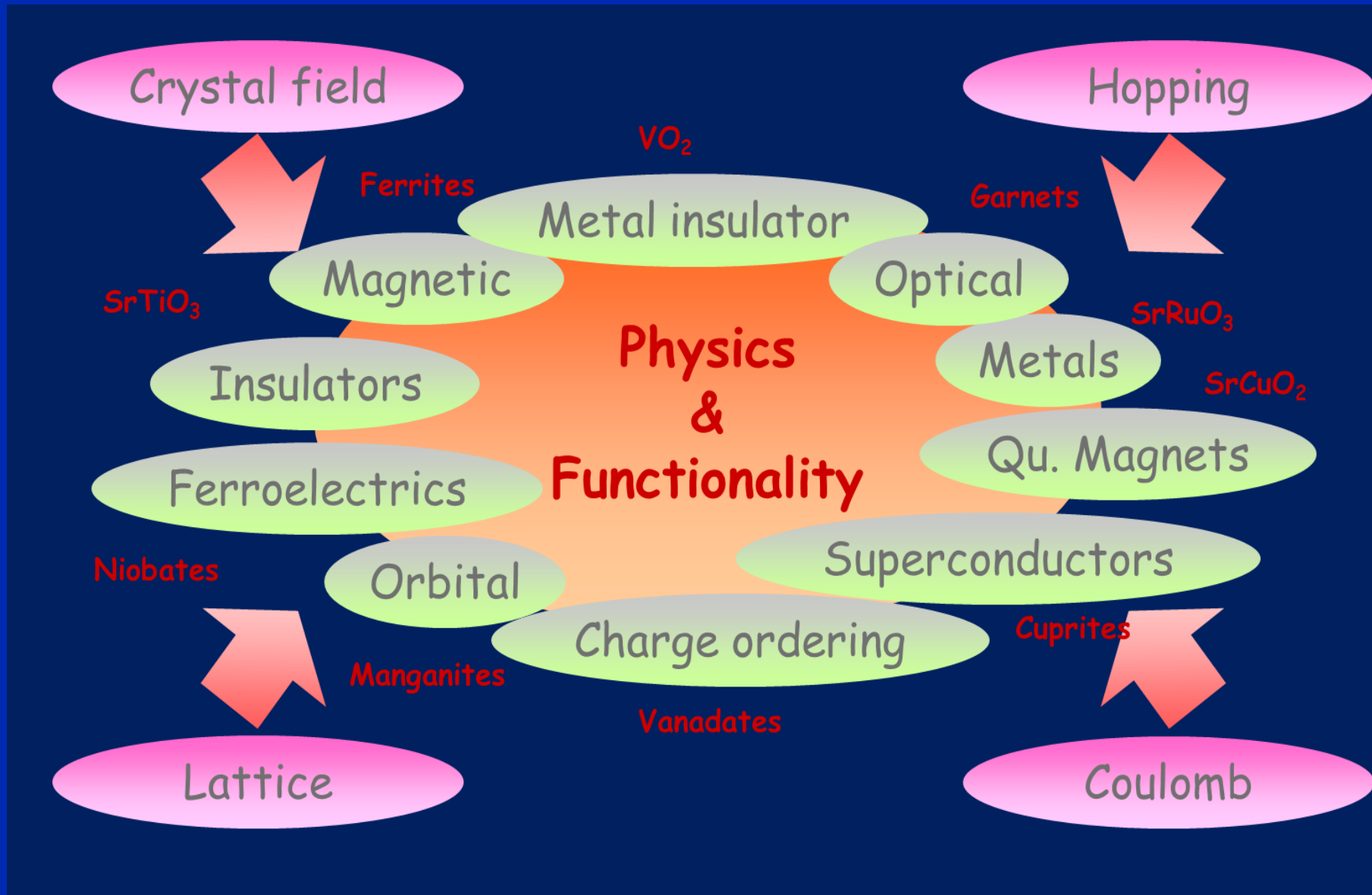
nearly periodic crystals

PERIODIC CRYSTALS

**SOLIDS**

- Structure and symmetry
- Binding
- Diffraction -> reciprocal space
- Vibrations & thermal properties, density of states
- Electrons, Fermi Gas, Energy bands, semiconductors
- Collective excitations (plasmons, excitons, etc.)
- Dielectrical properties
- Spins: magnetism & magnetic excitations

# II. Physikalisches Institut

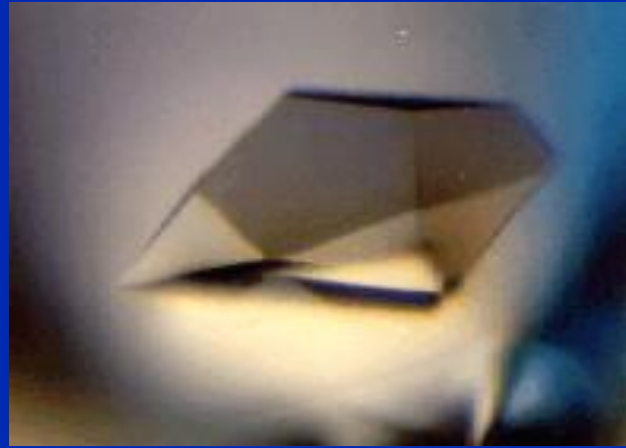


# STRUCTURE

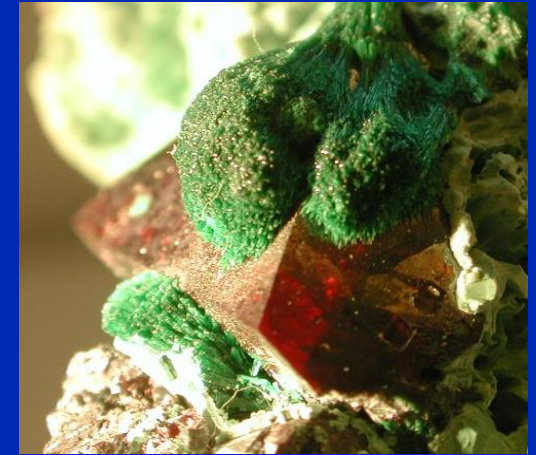
Kittel Ch.1



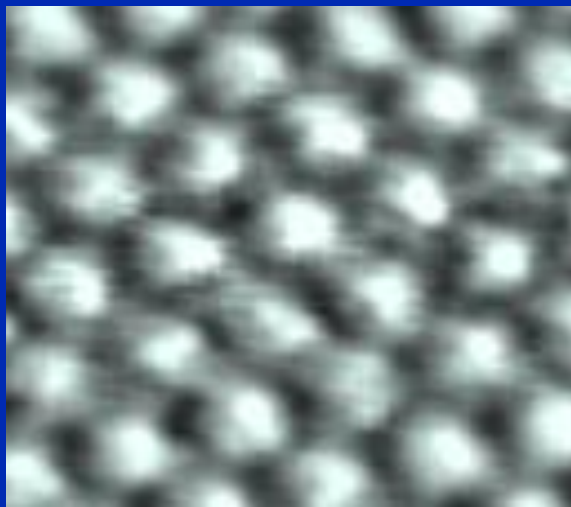
Quartz



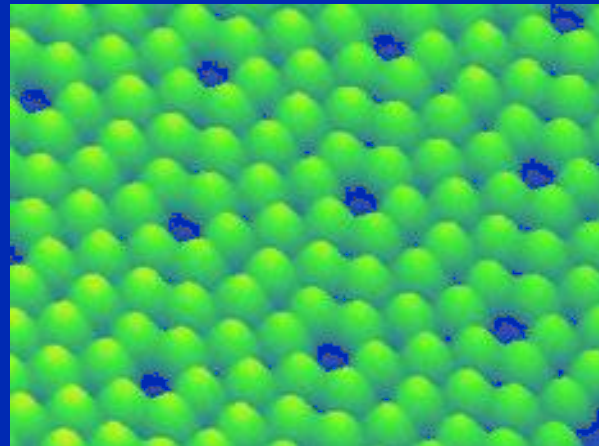
Protein



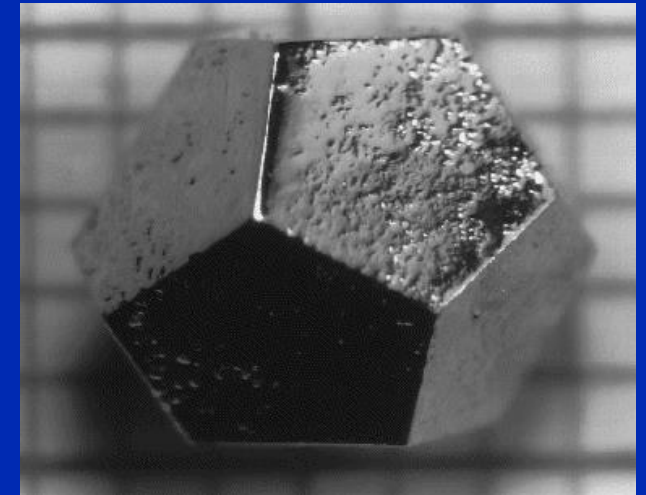
$\text{Cu}_2\text{O}$



Graphite



Silicon



Quasi-crystal



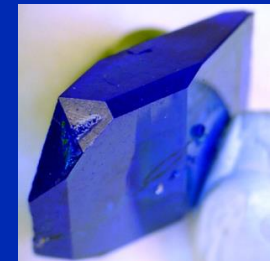
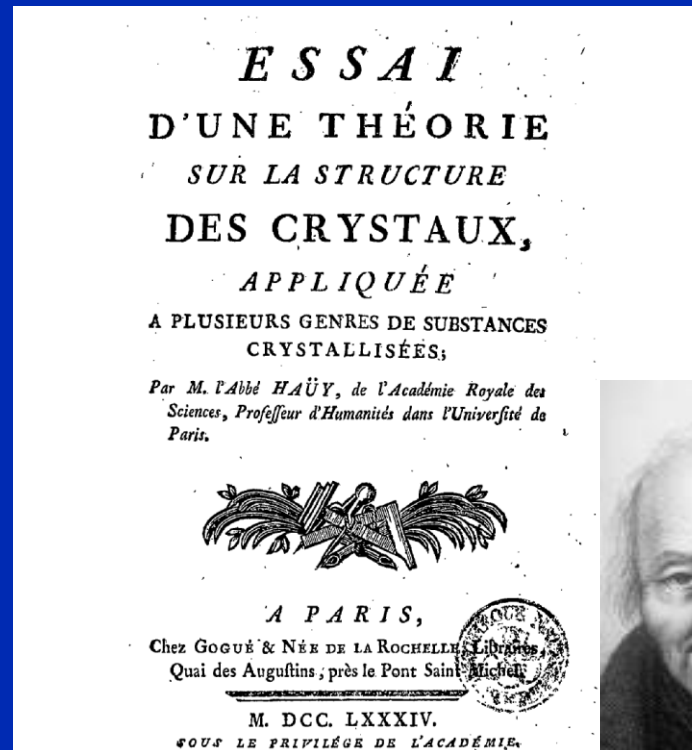
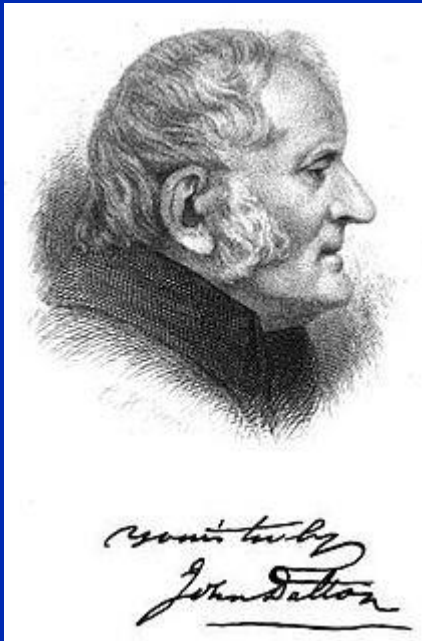
# Structure

460 BC Demokritus: idea that matter is made of 'atoms'

1611 J. Kepler: Snow Xtals made of spherical water molecules

1784 R.J. Haüy: Law of integral indices

1802 J. Dalton: atomic theory



# Structure

1784 R.J. Haüy: Law of integral indices



1850 A. Bravais: Space lattices



1895 W. Röntgen: X-rays (1<sup>st</sup> Nobel prize 1901,  
Work on cathode rays: Lenard 1905)

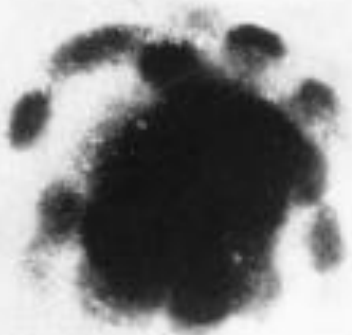


1912 M. van Laue: Diffraction (Nobel prize 1914)

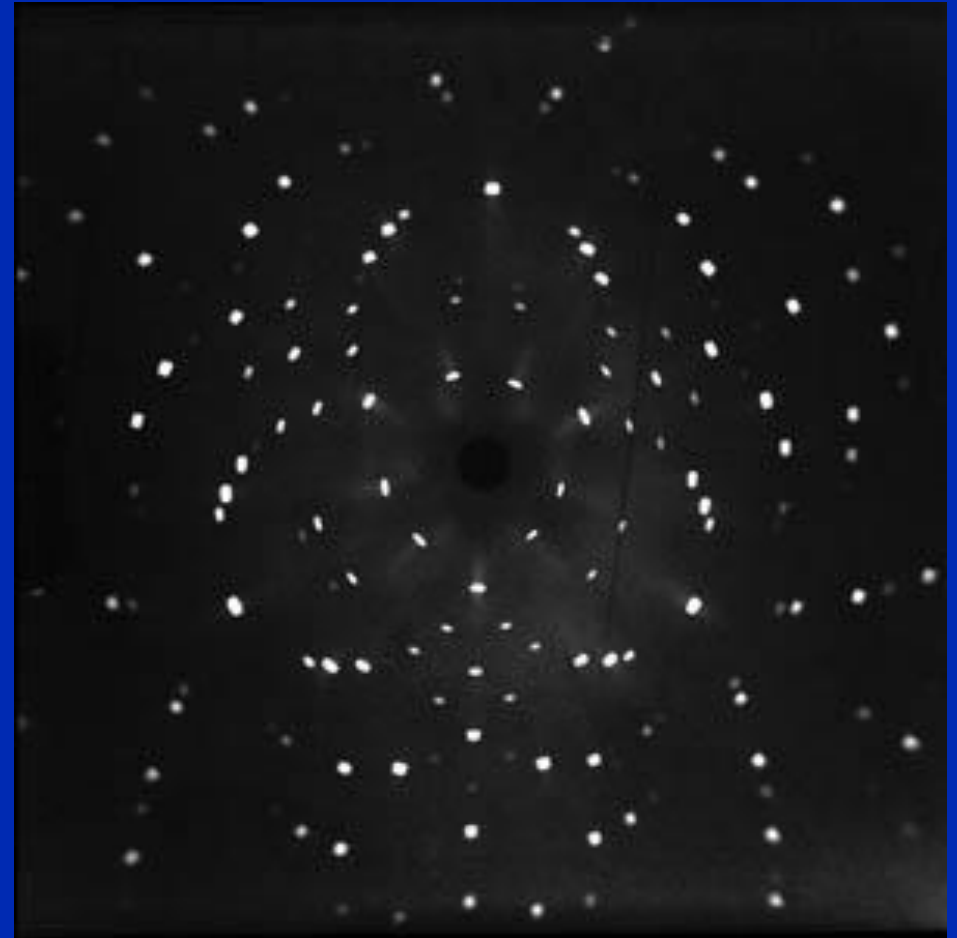


## Beugungsexperimente

Die erste Röntgen-  
Durchleuchtung eines  
Kristalls.



M. v. Laue

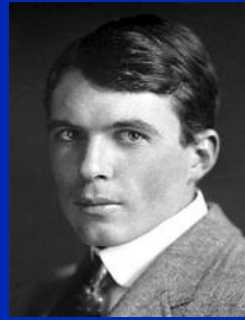
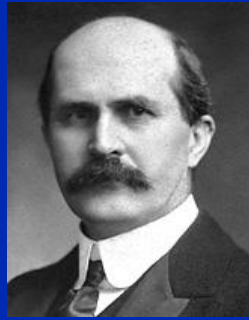


Si (FCC) 111 axis

Using hard X-rays ( $\lambda \sim a \sim 10 \text{ \AA}$ )

# Structure

1913/14 W.&L. Bragg: Diffraction (Nobel prize 1915)



1984 D. Shechtman: Quasicrystals (Chem. Nobel prize 2011)



# Symmetry

## Translation symmetry:

vectors representing translations which transform a structure into itself

## Rotation symmetry:

Operations (rotations, mirrors) which transform a structure into itself





$2\pi/6$  rotation

Translations

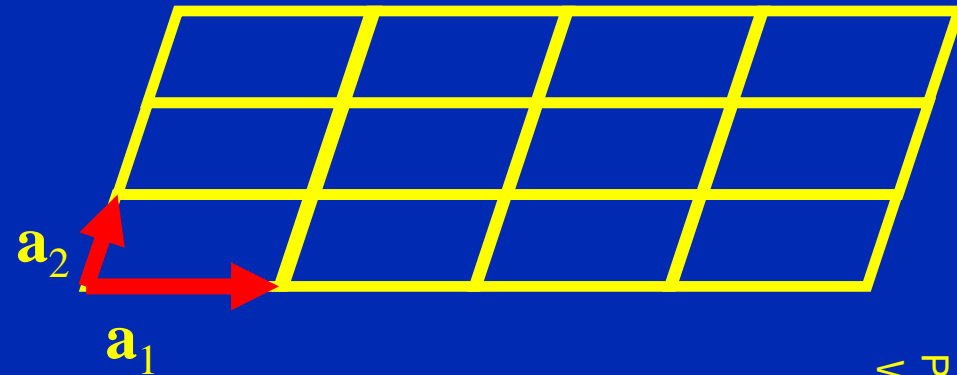
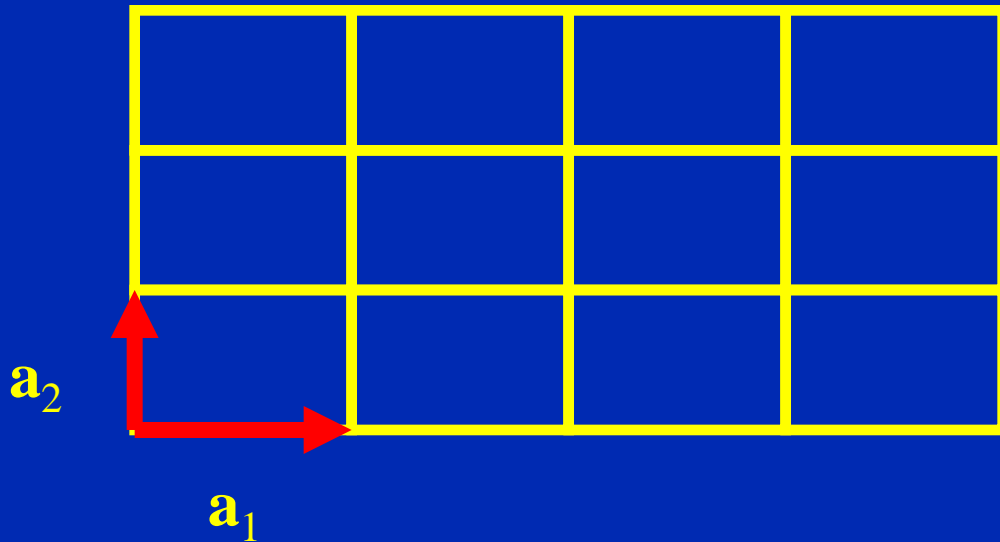
# Lattice

Periodic Arrays of points

or

Lattice = set of fundamental translation vectors:

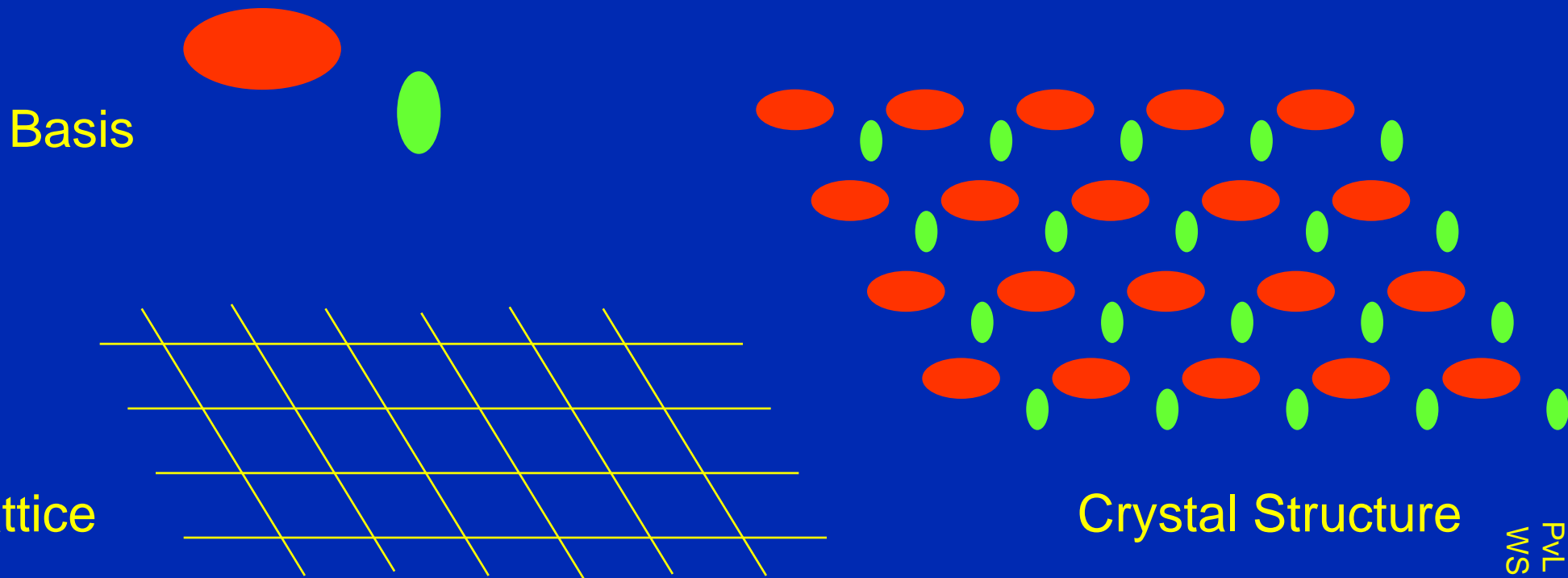
$$\mathbf{T} = u_1 \mathbf{a}_1 + u_2 \mathbf{a}_2 + u_3 \mathbf{a}_3$$



# Crystal structure

Lattice + Basis = Crystal structure

Basis = group of atoms forming the unit cell:



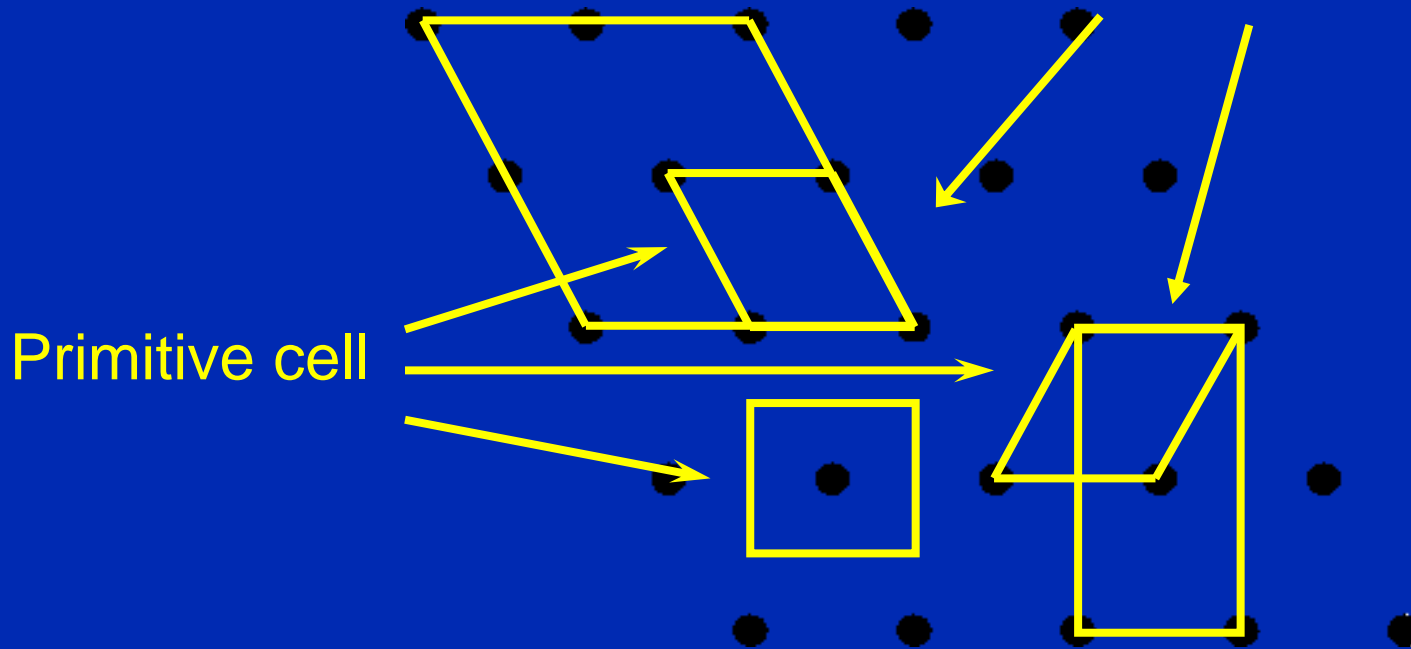


# Primitive cell

Minimal volume unit cell

“Conventional” unit cell

A unit cell is not necessarily primitive!



# Primitive cell

Minimal volume unit cell

Primitive Translation vectors:  $\mathbf{a}_1$ ,  $\mathbf{a}_2$ ,  $\mathbf{a}_3$

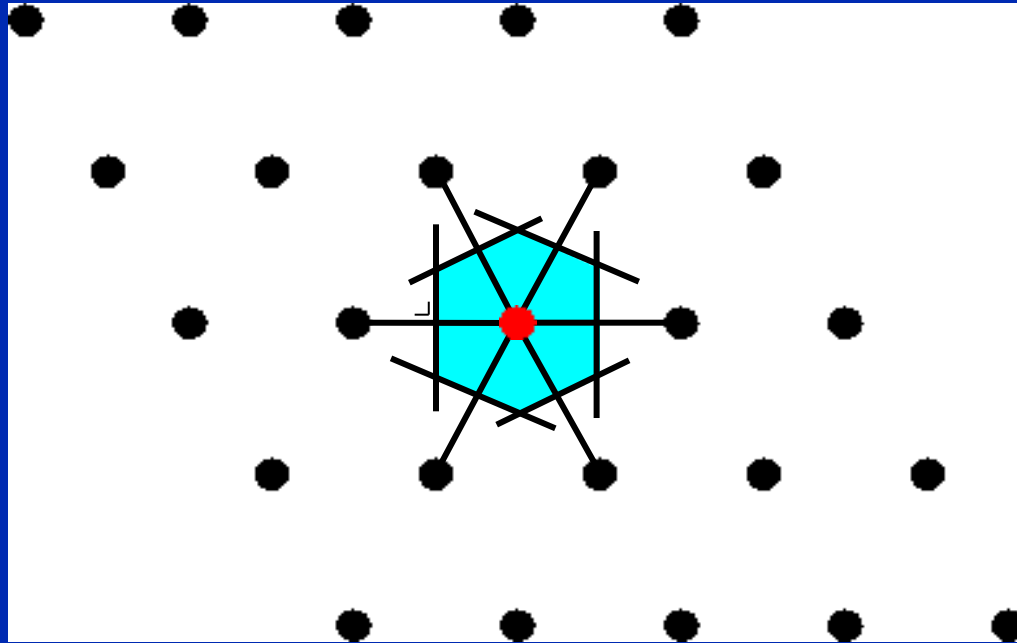
Primitive Lattice Cell = parallelepiped of  $\mathbf{a}_1$ ,  $\mathbf{a}_2$ ,  $\mathbf{a}_3$

Many equivalent options to choose from,

Volume of Primitive Lattice cell:  $V = \mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)$

Wigner-Seitz Cell: Most symmetric choice of Primitive Cell

# Wigner-Seitz cell



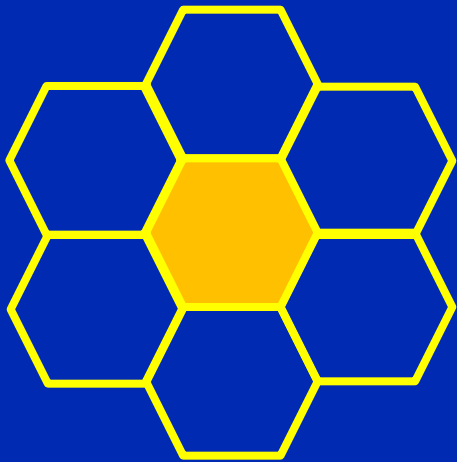
# Symmetry elements

Translations:  $\mathbf{T} = u_1\mathbf{a}_1 + u_2\mathbf{a}_2 + u_3\mathbf{a}_3$

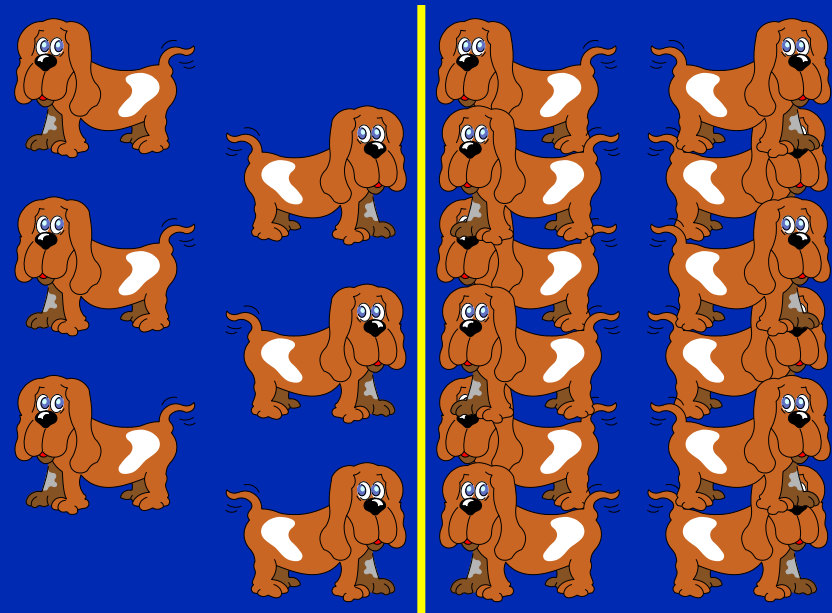
Point group operations: Rotations and Mirrors

Combinations: Glide planes and Screw axes

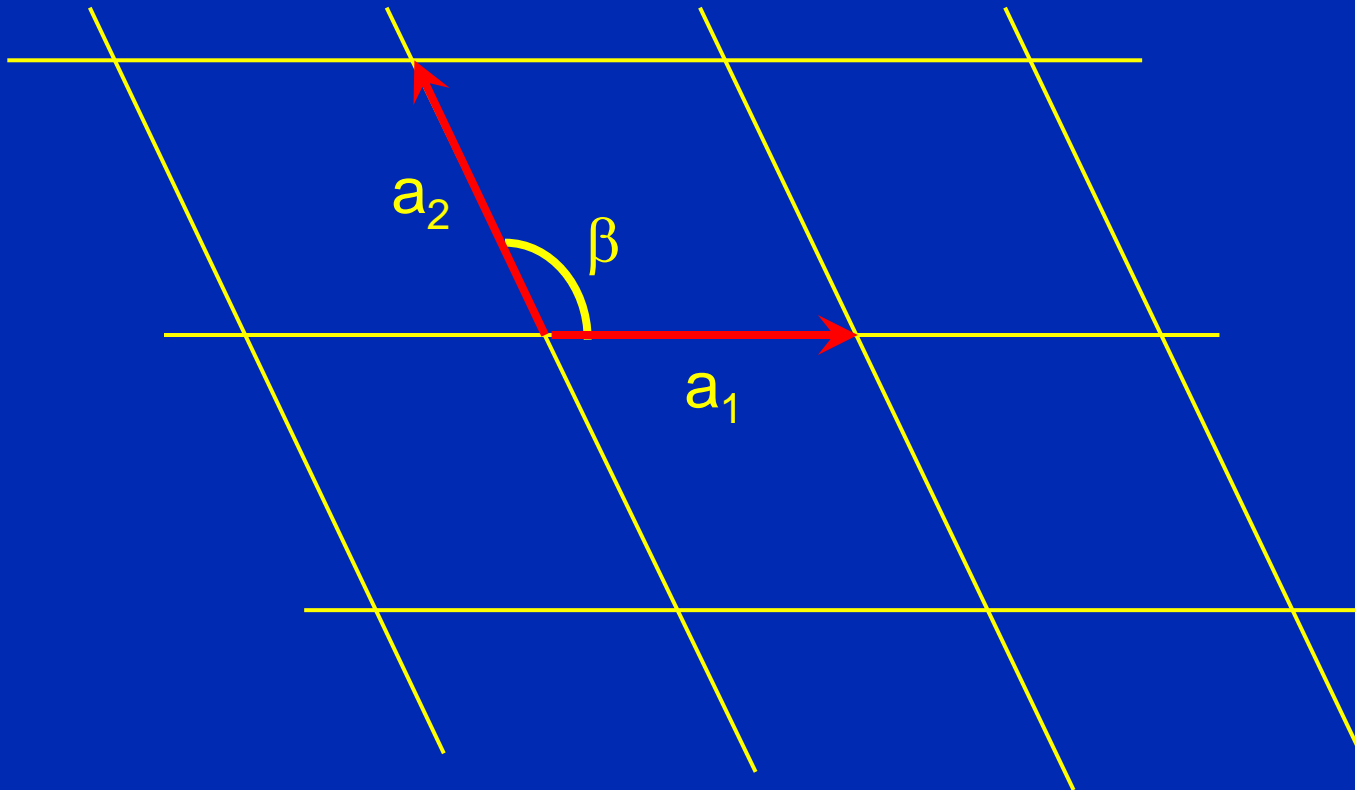
6 fold rotation



Glide plane

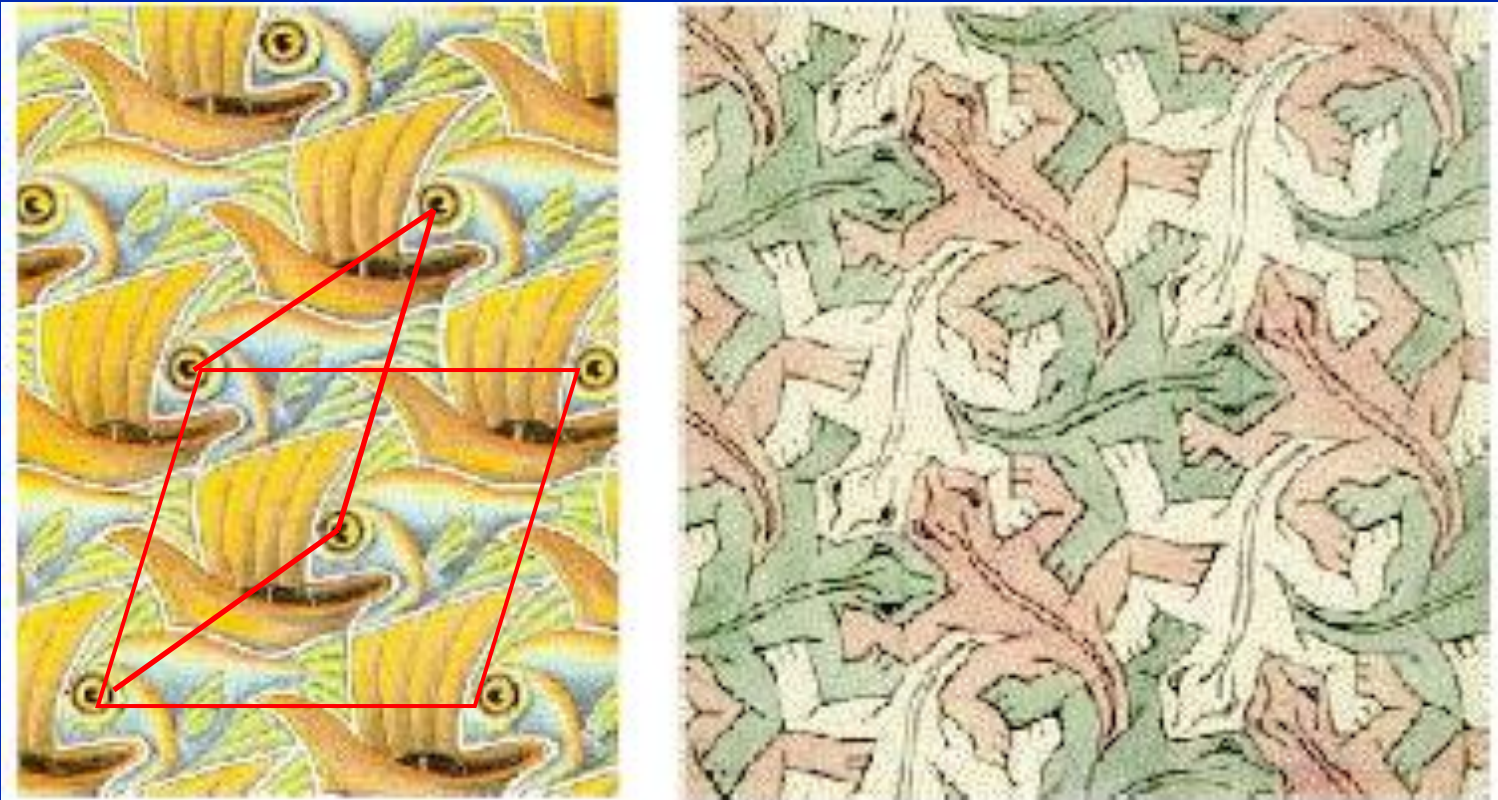


# Two dimensional lattices (Net)



Ratio  $|a_1/a_2|$   
Angle  $\beta$

# Two dimensional lattices (Net)

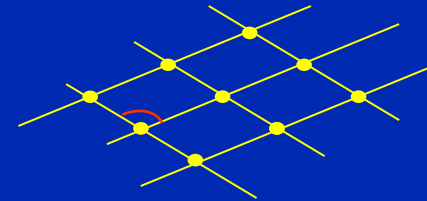


(M. C. Escher)

# 2D lattice types

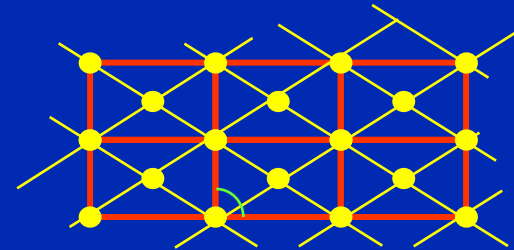
1-fold: Oblique

$$a_1 \neq a_2; \beta$$



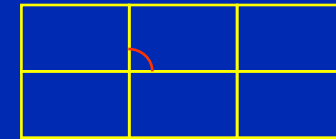
1-fold + mirror:  
Centered rectangular

$$a_1 \neq a_2; \alpha = 90^\circ$$



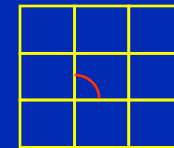
2-fold: Rectangular

$$a_1 \neq a_2; \beta = 90^\circ$$



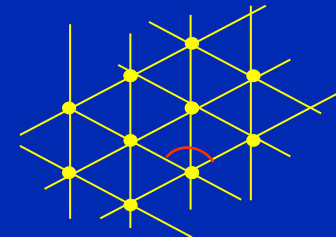
4-fold: Square

$$a_1 = a_2; \beta = 90^\circ$$



6-fold: Hexagonal

$$a_1 = a_2; \beta = 120^\circ$$



# 3D lattice types

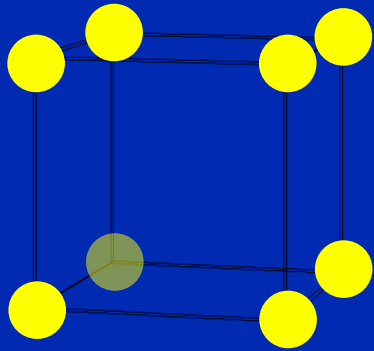
## The 3D lattice types (14)

Triclinic	1	No symmetries
Monoclinic	2	Two right angles
Orthorhombic	4	Three right angles
Tetragonal	2	Three right angles + 4 fold
Cubic (SC, FCC, BCC)	3	Three right angles + 4 fold + 3 fold
Trigonal	1	Three equal angles (not $90^\circ$ ) + 3 fold
Hexagonal	1	Two right, one $120^\circ$ + 6 fold



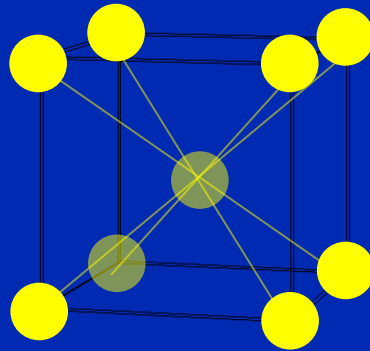
# Cubic lattices

Simple cubic  
SC



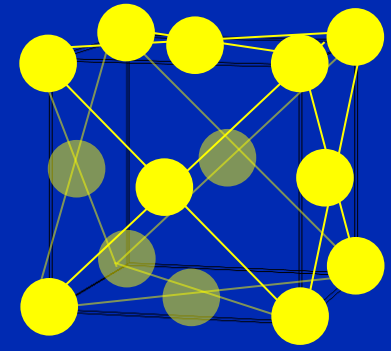
Po

Body centered  
BCC



Na, K, Rb, Cs,  
Fe, V, Cr, Mo, W

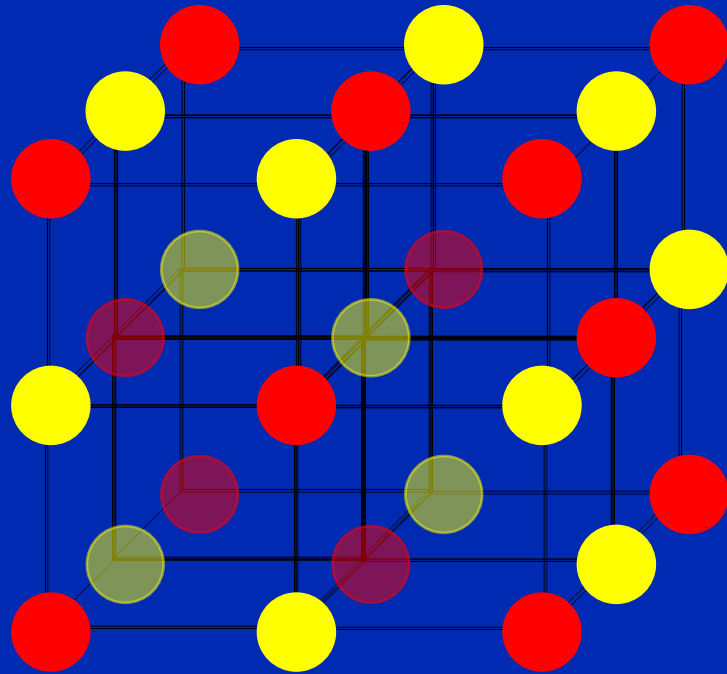
Face centered  
FCC



Ni, Cu, Ag, Au, Pt,  
Ne, Ar, Kr, Xe, Rn

# NaCl Structure

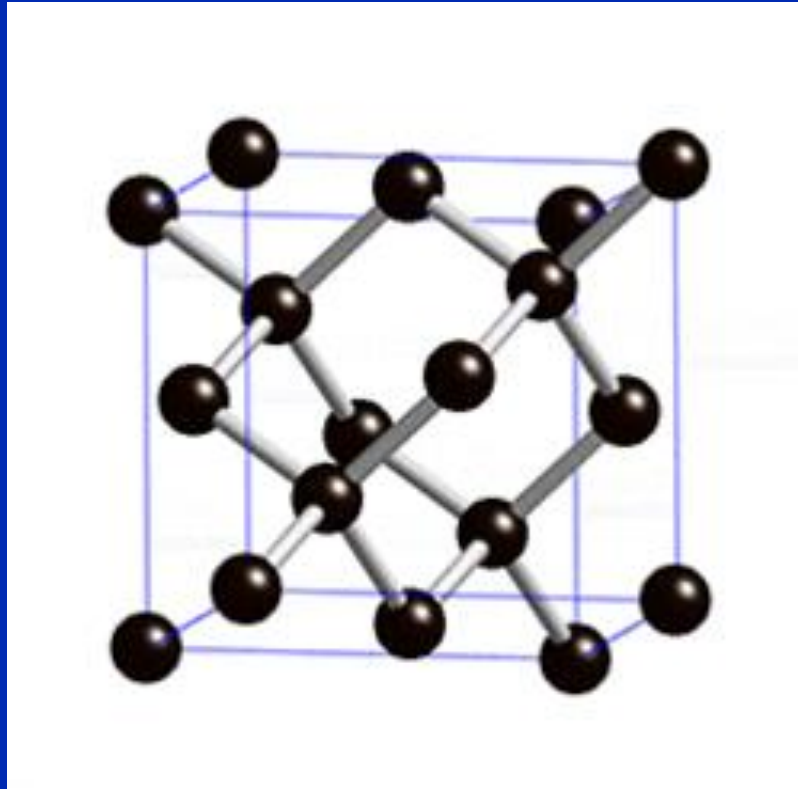
Rocksalt structure



NaCl  
SnTe  
NaF  
MgO

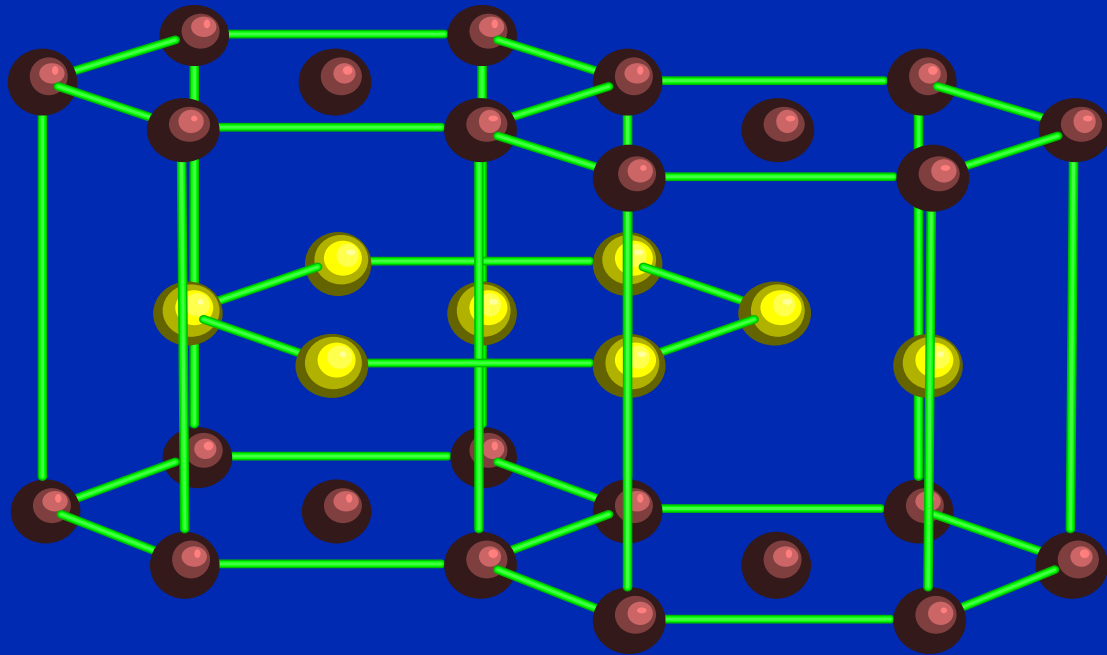


# Diamond structure



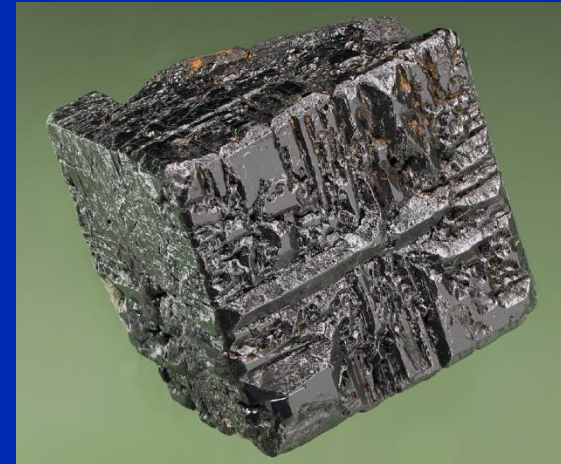
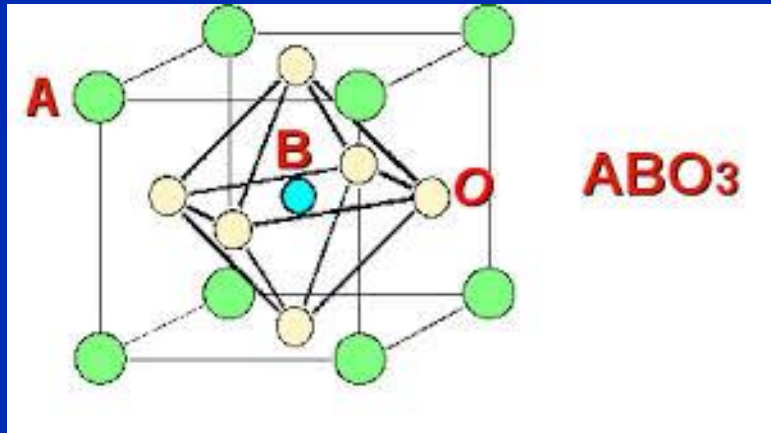
C, Si, Ge

# Hexagonal closed packed



H, He, N  
Be, Mg  
Co, Zn, Sc, Ti  
Y, Zr, Tc, Ru, Cd  
Hf, Re, Os, Tl,  
Pr, Gd, Tb, Dy, Ho, Er, Lu

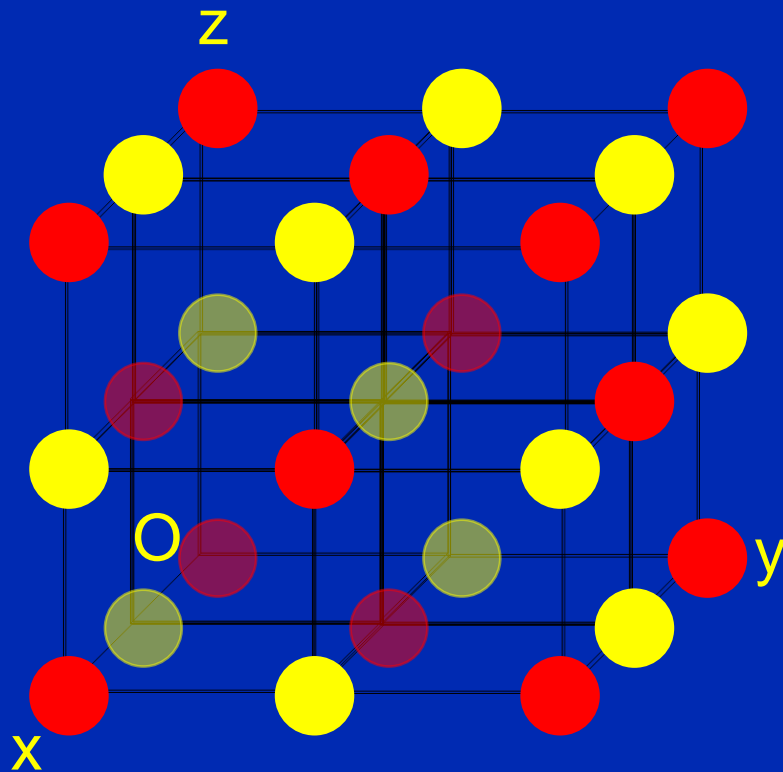
# Perovskite structure



**CaTiO<sub>3</sub>**



# Planes and directions



Miller indices:

1. Find intercepts with axes of unit cell (in cell units).
2. Take reciprocals
3. Find (smallest) integers with the same ratio

() Planes

{ } Equivalent planes

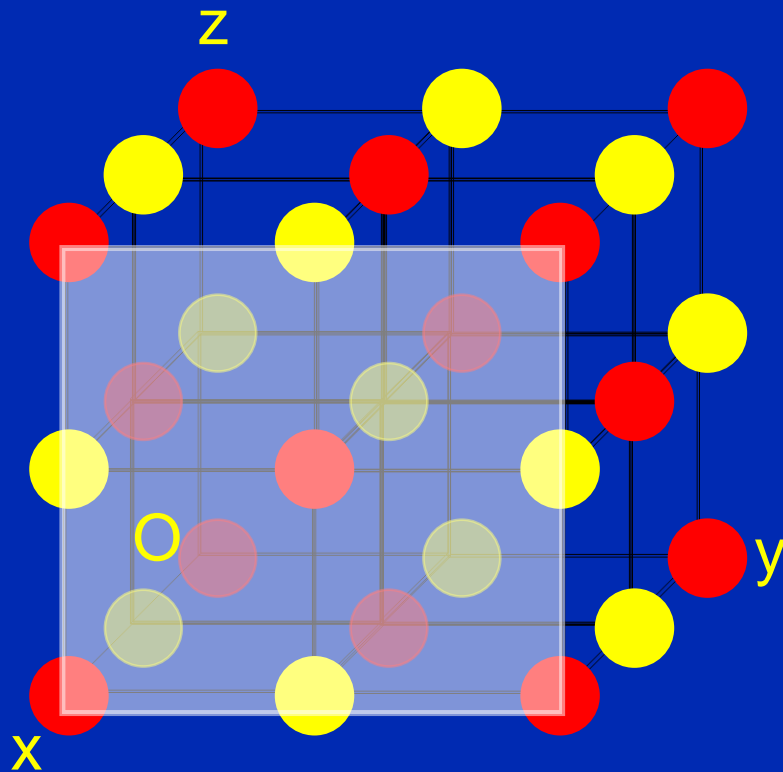
Cubic

{100}: (100), (200), (001)

[] directions:

x-direction [100]

# Planes and directions

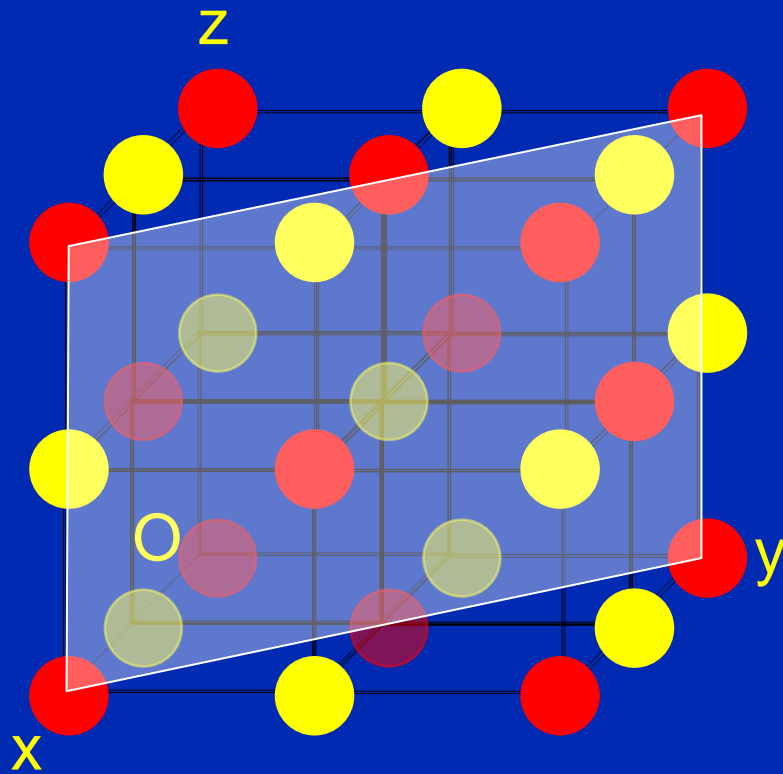


Miller indices:

1. Find intercepts with axes of unit cell (in cell units).
2. Take reciprocals
3. Find (smallest) integers with the same ratio

Intersections at  $(1, \infty, \infty)$   
Miller indices  $(100)$

# Planes and directions



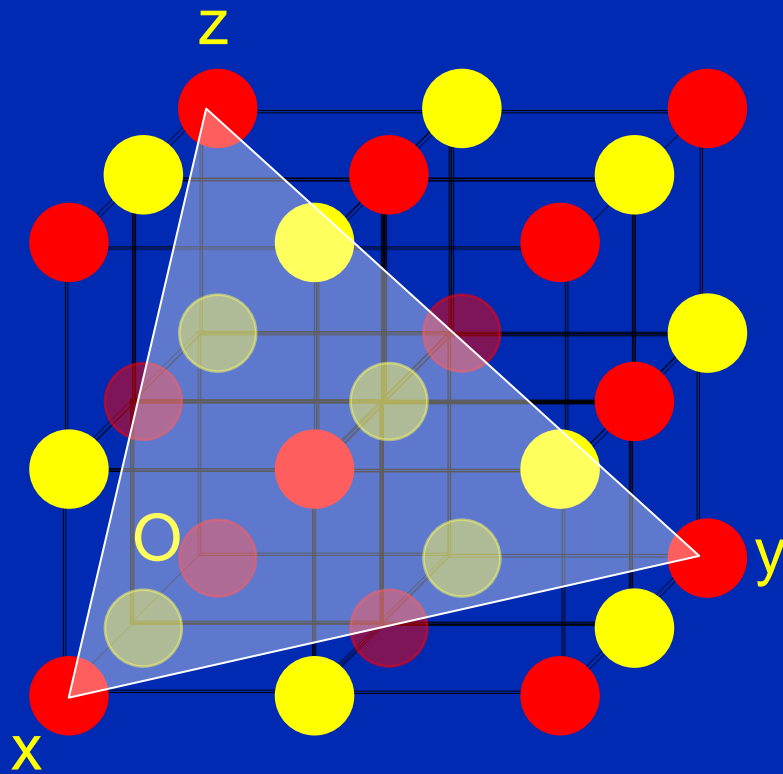
Miller indices:

1. Find intercepts with axes of unit cell (in cell units).
2. Take reciprocals
3. Find (smallest) integers with the same ratio

Intersections at  $(1, 1, \infty)$   
Miller indices  $(110)$



# Planes and directions



Miller indices:

1. Find intercepts with axes of unit cell (in cell units).
2. Take reciprocals
3. Find (smallest) integers with the same ratio

Intersections at (1,1,1)  
Miller indices (111)