

Summary

$$H_{\text{BCS}} = H_{\text{BCS}}^{\text{kin}} + H_{\text{BCS}}^{\text{int}}$$

$$H_{\text{BCS}}^{\text{kin}} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} \left[2v_{\mathbf{k}}^2 + (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2) (m_{\mathbf{k}} + m_{-\mathbf{k}}) \right]$$

$$H_{\text{BCS}}^{\text{int}} = - \sum_{\mathbf{k}, \mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \left[u_{\mathbf{k}'} v_{\mathbf{k}'} u_{\mathbf{k}} v_{\mathbf{k}} (1 - m_{\mathbf{k}'} - m_{-\mathbf{k}'}) (1 - m_{\mathbf{k}} - m_{-\mathbf{k}}) \right]$$

with $m_{\mathbf{k}} = \gamma_{\mathbf{k}}^+ \gamma_{\mathbf{k}}$ and $u_{\mathbf{k}}^2 + v_{\mathbf{k}}^2 = 1$.

$$\gamma_{\mathbf{k}} = u_{\mathbf{k}} c_{\mathbf{k}} - v_{\mathbf{k}} c_{-\mathbf{k}}^+ \quad \text{and} \quad c_{\mathbf{k}} = u_{\mathbf{k}} \gamma_{\mathbf{k}} + v_{\mathbf{k}} \gamma_{-\mathbf{k}}^+$$

$$\gamma_{-\mathbf{k}} = u_{\mathbf{k}} c_{-\mathbf{k}} - v_{\mathbf{k}} c_{\mathbf{k}}^+ \quad c_{-\mathbf{k}} = u_{\mathbf{k}} \gamma_{-\mathbf{k}} - v_{\mathbf{k}} \gamma_{\mathbf{k}}^+$$

in the above H_{BCS} we already made the cancellation of off-diagonal terms (and neglecting 4th order in γ terms) yielded.

$$\Delta_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \left(\frac{1}{4} - x_{\mathbf{k}'}^2 \right)^{1/2} = \frac{1}{2} \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{\left(\epsilon_{\mathbf{k}'}^2 + \Delta_{\mathbf{k}'}^2 \right)^{1/2}}$$

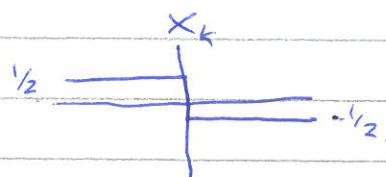
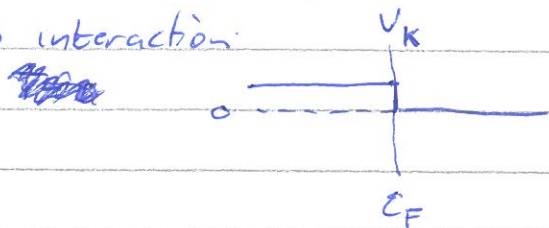
$$(x_{\mathbf{k}} \text{ defined by } u_{\mathbf{k}} = \left(\frac{1}{2} - x_{\mathbf{k}} \right)^{1/2}; v_{\mathbf{k}} = \left(\frac{1}{2} + x_{\mathbf{k}} \right)^{1/2}$$

$$\text{and } x_{\mathbf{k}} = \pm \frac{\epsilon_{\mathbf{k}}}{2(\epsilon_{\mathbf{k}}^2 + \Delta_{\mathbf{k}}^2)^{1/2}}$$

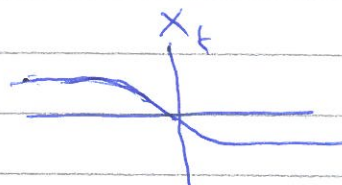
non-interacting and $T=0 \Rightarrow \left. \begin{array}{l} x_{\mathbf{k}} = 1/2 \quad \epsilon_{\mathbf{k}} < \mu \\ x_{\mathbf{k}} = -1/2 \quad \epsilon_{\mathbf{k}} > \mu \end{array} \right)$

total number of e^- $N = \sum 2V_k^2 \equiv \sum (1 + 2X_k)$

no interaction:



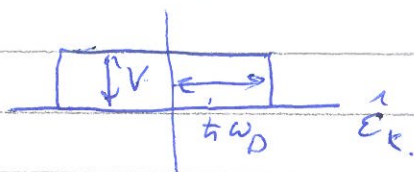
with interaction:



if $\hat{\epsilon}_F = 0$ (i.e. $\hat{\epsilon}_F = \epsilon_F - \mu$). Then:

$$X_k = - \frac{\hat{\epsilon}_k}{2 \sqrt{\hat{\epsilon}_k^2 + \Delta_k^2}}$$

for simple form V_{kk} .



$$V_{kk} = \begin{cases} V & |E| < h\omega_D \\ 0 & \text{otherwise} \end{cases}$$

$$\Delta_k = \Delta = \frac{h\omega_D}{\sinh[1/V D(\epsilon_F)]}$$

weak coupling $V D(\epsilon_F) \ll 1$

$$\Rightarrow \Delta = 2 h\omega_D e^{-1/V D(\epsilon_F)}$$

Ground state energy

$$\mathcal{E}_{S.C.} = \sum_k 2\varepsilon_k^2 V_k^2 - \sum_{kk'} \bar{V}_{kk'} u_{k'} v_{k'} u_k v_k.$$

(i.e. $m_k = m_{-k} = 0$ & off diagonal already out)

$$= \sum_k \varepsilon_k (1 + 2x_k) - \sum_{kk'} V_{kk'} \left[\left(\frac{1}{4} - x_{k'}^2 \right) \left(\frac{1}{4} - x_k^2 \right) \right]^{1/2}$$

using $\Delta_k = \frac{1}{2} \sum_{k'} V_{kk'} \left(\frac{1}{4} - x_{k'}^2 \right)^{1/2}$. This becomes.

$$\mathcal{E}_{S.C.} = \sum_k \varepsilon_k (1 + 2x_k) - \left(\frac{1}{4} - x_k^2 \right)^{1/2} \Delta$$

normal state non interacting

$$\mathcal{E}_N = \sum_k (1 + 2x_k) \varepsilon_k = \sum_{k < k_F} 2\varepsilon_k.$$

($x_k = +\frac{1}{2}$ for $k < k_F$
 $-\frac{1}{2}$ for $k > k_F$)

$$\mathcal{E}_{\text{cond}} \equiv \mathcal{E}_{S.C.} - \mathcal{E}_N = \sum_{k < k_F} \varepsilon_k (2x_k - 1) + \sum_{k > k_F} \varepsilon_k (2x_k + 1)$$

$$- \sum_k \left(\frac{1}{4} - x_k^2 \right)^{1/2} \Delta$$

using $x_k = -\frac{\varepsilon_k}{2\sqrt{\varepsilon_k^2 + \Delta^2}}$ one finds

$$\mathcal{E}_{\text{cond}} = 2D(\varepsilon_F) \int_0^{\hbar\omega_D} \left\{ \varepsilon_k - \frac{2\varepsilon_k^2 + \Delta^2}{2\sqrt{\varepsilon_k^2 + \Delta^2}} \right\} d\varepsilon$$

$$= D(\varepsilon_F) \left\{ (\hbar\omega_D)^2 - \hbar\omega_D \left[(\hbar\omega_D)^2 + \Delta^2 \right]^{1/2} \right\}$$

using $\Delta = \hbar\omega_D / \sinh h(1/\nu D(\varepsilon_F))$ This can be written

$$\text{as } \mathcal{E}_C = (\hbar\omega_D)^2 D(\varepsilon_F) \cdot \left[1 - \coth h \left(\frac{1}{\nu D(\varepsilon_F)} \right) \right]$$

which in weak coupling limit becomes.

$$\epsilon_{\text{cond}} \approx -2 (t\omega_0)^2 \cdot D(\epsilon_F) e^{-2/V D(\epsilon_F)}$$

$$= -\frac{1}{2} D(\epsilon_F) \cdot \Delta^2$$

$$(\Delta_{\text{weak coupl.}} = 2t\omega_0 e^{-1/V D(\epsilon_F)})$$

this is about 10^{-7} eV/e⁻ ($\Delta \cong mk$). which is so small since only e⁻ between $\epsilon_F - \Delta$ and $\epsilon_F + \Delta$ contribute.

ground state wavefunction.

for the ground state we want $n_k = n_{-k} = 0$.

this must mean that.

$$\gamma_k |\psi_0\rangle = \gamma_{-k} |\psi_0\rangle = 0.$$

wavefunction which satisfies this:

$$\left(\prod_k \gamma_k \gamma_{-k} \right) |0\rangle \quad \text{since } \gamma_k \gamma_k = \gamma_{-k} \gamma_{-k} = 0.$$

$$\text{written out: } \left(\prod_k \gamma_k \gamma_{-k} \right) |0\rangle =$$

$$\left[\prod_k (u_k c_k - v_k c_{-k}^+) (u_k c_{-k} + v_k c_k^+) \right] |0\rangle$$

$$= \left[\prod_k (u_k v_k + v_k^2 c_k^+ c_{-k}^+) \right] |0\rangle$$

divided by $\prod_k v_k$ to normalize

$$\Rightarrow |\psi_0\rangle = \left[\prod_k u_k + v_k c_k^+ c_{-k}^+ \right] |0\rangle$$

excitations

to create excitations one can operate with.

$$\gamma_k^+ \text{ and } \gamma_{-k}^+ \text{ on } |\psi_0\rangle$$

the BCS H-operator can be written as. (see p. 17, 19)

$$H_{\text{BCS}} = \sum_{\text{s.c.}} \epsilon_{\text{cond.}} + \sum_k (m_k + m_{-k}) \left[(u_k^2 - v_k^2) \epsilon_k + 2u_k v_k \sum_{k'} \bar{V}_{kk'} u_{k'} v_{k'} \right]$$

which using solution for u_k, v_k (see p. 13) becomes

$$H_{\text{BCS}} = \sum_{\text{s.c.}} \epsilon_k + \sum_k (\epsilon_k^2 + \Delta^2)^{1/2} (m_k + m_{-k}) + \dots$$

\Rightarrow energy of elementary excitation $E_k = (\epsilon_k^2 + \Delta^2)^{1/2}$.

however a single γ_k^+ (or γ_{-k}^+) can not create excitation. since this only contains single c and c^\dagger and any excitation involves ≥ 2 electrons.

for instance E-field can scatter e^- from k' to k :

$$\begin{aligned} c_k^\dagger c_{k'} |\psi_0\rangle &= (u_k \gamma_k^+ + v_k \gamma_{-k}^+) (u_{k'} \gamma_{k'} + v_{k'} \gamma_{-k'}) |\psi_0\rangle \\ &= u_k v_{k'} \underbrace{\gamma_k^+ \gamma_{-k}^+}_{\text{pair of excitations}} |\psi_0\rangle. \end{aligned}$$

(22)

so any excitation costs at least 2Δ .

\Rightarrow specific heat at low $T \sim e^{-\frac{2\Delta}{kT}}$

optical absorption for $\hbar\omega \gg 2\Delta$

Transition temperature and $\Delta(T)$.

we eliminated the off-diag. terms by determining

u_k, v_k using H_{BCS} at $T=0$ i.e. $m_k = m_{-k} = 0$.

We'll assume H_{BCS} still works at higher T .

i.e. H_{BCS} is still.

$$H_{BCS} = \sum_k 2\varepsilon_k v_k^2 + \sum_k (u_k^2 - v_k^2) \varepsilon_k (m_k + m_{-k}) + \\ + \sum_{kk'} V_{kk'} u_{k'} v_{k'} u_k v_k (1 - m_{k'} - m_{-k'}) (1 - m_k - m_{-k}).$$

energy to create quasi-particle is then.

$$E_k = \frac{\partial \langle H_{BCS} \rangle}{\partial \langle m_k \rangle} = \varepsilon_k (u_k^2 - v_k^2) + 2u_k v_k \sum_{k'} V_{kk'} (1 - \langle m_{k'} \rangle - \langle m_{-k'} \rangle)$$

as approximation we could take $\langle m_k \rangle = \langle m_{-k} \rangle = \frac{1}{e^{\varepsilon_k/kT} + 1}$

i.e. fermi-dirac with zero white # particles not conserved by γ 's.

then \bullet to make diagonal terms vanish (see p 12)

one needs Huf.

$$2 E_k u_k v_k - (u_k^2 - v_k^2) \sum_{k'} \bar{V}_{kk'} u_{k'} v_{k'} (1 - m_{k'} - m_{-k'}) = 0$$

or

$$2 E_k u_k v_k - (u_k^2 - v_k^2) \sum_{k'} \bar{V}_{kk'} u_{k'} v_{k'} (1 - 2f(E_{k'})) = 0.$$

$$\text{with } f(E_k) = \frac{1}{e^{E_k/kT} + 1}$$

if we put (see p 13)

$$\Delta_k(T) = \sum_{k'} \bar{V}_{kk'} \left(\frac{1}{4} - x_{k'}^2\right)^{1/2} (1 - 2f(E_{k'}))$$

we have exactly same eq. as before with $\Delta \rightarrow \Delta(T)$.

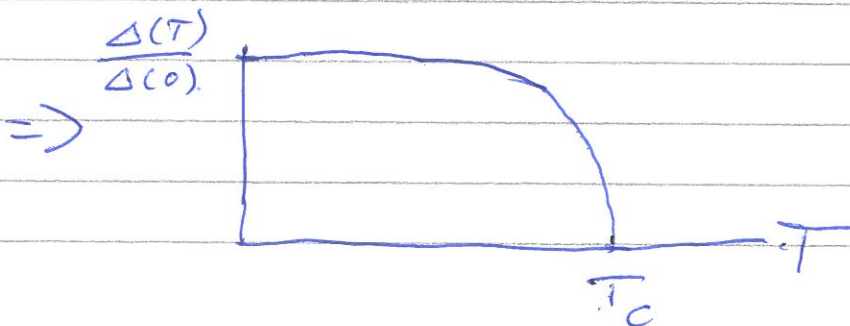
$$\Rightarrow \Delta_k(T) = \frac{1}{2} \sum_{k'} \bar{V}_{kk'} \frac{\Delta_{k'}(T)}{[E_{k'}^2 + \Delta_{k'}^2(T)]^{1/2}} \{1 - 2f(E_{k'})\}$$

$$\text{using } E_k = [E_k^2 + \Delta_k^2(T)]^{1/2}.$$

$$\text{This becomes } \Delta_k = \frac{1}{2} \sum_{k'} \bar{V}_{kk'} \frac{\Delta_{k'}}{(E_{k'}^2 + \Delta_{k'}^2)^{1/2}} \tanh \frac{\sqrt{E_{k'}^2 + \Delta_{k'}^2}}{2kT}.$$

using BCS approach for $\bar{V}_{kk'}$ one gets.

$$V D(E_F) \int_0^{\hbar\omega_D} \frac{\tanh \left\{ \frac{\sqrt{E^2 + \Delta^2}}{2kT} \right\}}{\sqrt{E^2 + \Delta^2}} dE = 1$$



to find T_c we set $\Delta(T_c) = 0$.

~~using the~~

$$V D(\epsilon_F) \int_0^{\hbar\omega_D} \frac{\tanh \epsilon/2kT_c}{\epsilon} d\epsilon = 1.$$

$$V D(\epsilon_F) \int_0^{\hbar\omega_D/2kT_c} \frac{\tanh x}{x} dx = 1.$$

$$\Rightarrow kT_c = 1.14 \hbar\omega_D e^{-1/V D(\epsilon_F)}$$

↑
isotope effect

in weak coupling.

since we already had $\Delta(0) = 2 \hbar\omega_D e^{-1/V D(\epsilon_F)}$ (sec 15)

one has $\frac{2\Delta(0)}{kT_c} = 3.50$

\Rightarrow deviations often used as argument non-BCS.